

INDUCTION FOR SECANT VARIETIES OF SEGRE VARIETIES

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ABSTRACT. This paper studies the dimension of secant varieties to Segre varieties. The problem is cast both in the setting of tensor algebra and in the setting of algebraic geometry. An inductive procedure is built around the ideas of successive specializations of points and projections. This reduces the calculation of the dimension of the secant variety in a high dimensional case to a sequence of calculations of partial secant varieties in low dimensional cases. As applications of the technique: We give a complete classification of defective p -secant varieties to Segre varieties for $p \leq 6$. We generalize a theorem of Catalisano-Geramita-Gimigliano on non-defectivity of tensor powers of \mathbb{P}^n . We determine the set of p for which unbalanced Segre varieties have defective p -secant varieties. In addition, we completely describe the dimensions of the secant varieties to the deficient Segre varieties $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^n \times \mathbb{P}^n$ and $\mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3$. In the final section we propose a series of conjectures about defective Segre varieties.

1. INTRODUCTION

If Q_1, \dots, Q_p are points, then we let $\langle Q_1, \dots, Q_p \rangle$ denote their linear span. Let $X_1, \dots, X_p \subseteq \mathbb{P}^m$ be projective varieties of dimensions d_1, \dots, d_p . The *join* of the varieties, $J(X_1, \dots, X_p)$, is defined to be the Zariski closure of the union of the linear span of p -tuples of points (Q_1, \dots, Q_p) where $Q_i \in X_i$. In other words

$$J(X_1, \dots, X_p) = \overline{\bigcup_{Q_1 \in X_1, \dots, Q_p \in X_p} \langle Q_1, \dots, Q_p \rangle}.$$

The expected dimension (and the maximum possible dimension) of $J(X_1, \dots, X_p)$ is $\min\{m, p - 1 + \sum d_i\}$. If $X \subseteq \mathbb{P}^m$ is a variety of dimension r , then the p -secant variety of X is defined to be the join of p copies of X . We will denote this by $\sigma_p(X)$. Hence $\sigma_1(X) = J(X) = X$ while $\sigma_2(X) = J(X, X)$ is the variety of secant lines to X . The expected dimension (and the maximum possible dimension) of

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$\sigma_p(X)$ is $\min\{m, pr + (p - 1)\}$. X is said to have a *defective p -secant variety* if $\dim \sigma_p(X) < \min\{m, pr + (p - 1)\}$. X is called *defective* if there exists a p such that $\dim \sigma_p(X) < \min\{m, pr + (p - 1)\}$. In other words, X is *defective* if for some p , X has a defective p -secant variety. For instance, a classical theorem in algebraic geometry states that the Veronese surface $V \subset \mathbb{P}^5$ is defective since the dimension of $\sigma_2(V)$ is 4 (instead of the expected dimension of 5).

Let $\mathbb{P}^{n_i} = \mathbb{P}(V_i)$ where V_i is a vector space of dimension $n_i + 1$ over a field of characteristic zero, not necessarily algebraically closed. The aim of this note is to compute the dimension of $\sigma_p(X)$ when X is a Segre variety $\mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k}$ embedded in $\mathbb{P}(V_1 \otimes \dots \otimes V_k)$. We say that (n_1, \dots, n_k) is defective if there exists a p such that $\dim \sigma_p(X)$ is less than the expected dimension $\min\{\prod(n_i + 1) - 1, s(\sum n_i) + s - 1\}$. If $W_1, \dots, W_p \subseteq X \subseteq \mathbb{P}^m$, then $J(W_1, \dots, W_p)$ is called a partial secant variety of X . In Section 2, we describe the basic tensor algebra that will be used throughout the paper. In Section 3, we give an inductive procedure that reduces the computation of $\dim \sigma_p(\mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k})$ to the computation of the dimension of a collection of partial secant varieties of low dimensional Segre varieties. Thus, a high dimensional problem is reduced, inductively, to a collection of easily computable low dimensional problems. In Section 4, we apply this procedure to give a complete classification of defective p -secant varieties to Segre varieties for $p \leq 6$. In the process of carrying out the classification, we characterize the set of p for which unbalanced Segre varieties have defective p -secant varieties. Modulo the unbalanced Segre varieties, there seem to be very few defective cases. However, we show that the Segre varieties $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^n \times \mathbb{P}^n$ and $\mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3$ are defective (and completely describe the dimensions of their secant varieties). In Section 5, we generalize a theorem of Catalisano–Geramita–Gimigliano on the non-defectivity of tensor powers of \mathbb{P}^n . We close the paper with a series of conjectures on the existence and classification of defective Segre varieties. In addition to evidence provided by the theorems of this paper, further evidence in support of the conjectures can be obtained via Monte Carlo techniques in a computer algebra system such as CoCoA, Macaulay 2 or Singular [Co, GS, GPS05].

The interest in this subject comes from several different sources. In algebraic geometry, the Segre varieties form an important class of geometric objects. In one guise, points on a Segre variety, V , are viewed as parametrizing rank one (or decomposable) tensors. A tensor is said to have *rank r* if it can be written as a linear combination of r rank one tensors (but not fewer). A tensor is said to have *border rank r* if it can be expressed as the limit of rank r tensors but not as the limit of rank $r - 1$ tensors. With this notation, $\sigma_p(V)$ parametrizes tensors with border rank at most p . Alternatively, these same ideas can be expressed in terms of decomposition of multidimensional matrices as linear combinations of simpler “rank 1” multidimensional matrices ([GKZ], [CGG1]). In numerical analysis a thorough understanding of the dimension of $\sigma_p(V)$ has applications to complexity theory, for example to algorithms for matrix multiplication ([BCS], [La]). More recently this topic appears, through its relationship with algebraic statistics and higher order correlations, in connection with computational biology ([ERSS]). The special case $X = \mathbb{P}^1 \times \dots \times \mathbb{P}^1$ ([CGG2]) has made several appearances in the recent physics literature (see for example [LT] and the literature quoted therein). The interested reader should also consider the accessible articles ([BM],[C]) for an overview of some related topics.

2. BASIC TENSOR ALGEBRA FOR SEGRE VARIETIES

In this section, questions about secant varieties to Segre varieties are reinterpreted as questions in tensor algebra. We begin by introducing the notation that will be used throughout this paper.

Definition 2.1. Let Y be a subspace of a vector space V . Let V^\vee denote the dual vector space of V . The orthogonal, Y^\perp of Y , is defined by

$$Y^\perp := \{\omega \in V^\vee \mid \omega(v) = 0 \quad \forall v \in Y\}.$$

It is worth noting that the dimension of Y in V is the same as the codimension of Y^\perp in V^\vee . The symmetric algebra of a vector space V , $\text{Sym}(V) = \bigoplus_{i=0}^\infty \text{Sym}^i(V)$, comes equipped with a natural grading. Let $S(V_i) = \mathbf{C} \oplus V_i$ be the truncated symmetric algebra arising as the quotient of the symmetric algebra by the ideal of elements whose degree is greater than or equal to 2 (in the natural grading). Given vector spaces V_1, \dots, V_k , the commutative algebra $T = S(V_1) \otimes \dots \otimes S(V_k)$ has a multi-gradation indexed by k -tuples of non-negative integers where the summand corresponding to $n = (n_1, \dots, n_k)$ is zero if some $n_i \geq 2$. We will let T_{n_1, \dots, n_k} denote the summand of T with multi-degree (n_1, \dots, n_k) . In particular $T_{0, \dots, 1, \dots, 0} = V_i$ and $T_{1, \dots, 1} = V_1 \otimes \dots \otimes V_k$ are direct summands of T with multi-degrees $(0, \dots, 1, \dots, 0)$ and $(1, \dots, 1)$ respectively. Since $(A \otimes B)^\vee = A^\vee \otimes B^\vee$, we have

$$T^\vee = S(V_1^\vee) \otimes \dots \otimes S(V_k^\vee), \quad T_{0, \dots, 1, \dots, 0}^\vee = V_i^\vee \quad \text{and} \quad T_{1, \dots, 1}^\vee = V_1^\vee \otimes \dots \otimes V_k^\vee.$$

Let $\langle v_i \rangle^\perp$ denote the homogeneous ideal in T^\vee which is generated by the subspace $\langle v_i \rangle^\perp \subseteq V_i^\vee$. Though $\langle v_i \rangle^\perp$ denotes both a homogeneous ideal and a subspace, in this paper there will be no danger of ambiguity. The following lemma is analogous to the well-known cases of projective spaces and Grassmann varieties [CGG3]. Let $T_p X$ be the affine cone over the projective tangent space to X at p .

Lemma 2.2. *Let $p = v_1 \otimes \dots \otimes v_k$ be a point of $X = \mathbb{P}(V_1) \times \dots \times \mathbb{P}(V_k)$. Then*

- (i) $T_p X = V_1 \otimes v_2 \otimes \dots \otimes v_k + v_1 \otimes V_2 \otimes \dots \otimes v_k + \dots + v_1 \otimes v_2 \otimes \dots \otimes V_k$,
- (ii) $T_p X^\perp = [(\langle v_1 \rangle^\perp + \dots + \langle v_k \rangle^\perp)^2]_{1, \dots, 1} \subseteq V_1^\vee \otimes \dots \otimes V_k^\vee$.

Proof. (i) Take the derivative of the parametric curve $(v_1 + \epsilon v'_1) \otimes \dots \otimes (v_k + \epsilon v'_k)$ at $\epsilon = 0$ and let v'_1, v'_2, \dots, v'_k vary over V_1, V_2, \dots, V_k .

(ii) Consider that

$$(v_1 \otimes \dots \otimes v_{i-1} \otimes V_i \otimes v_{i+1} \otimes \dots \otimes v_k)^\perp = \left(\sum_{j \neq i} \langle v_j \rangle^\perp \right)_{1, \dots, 1};$$

hence

$$T_p X^\perp = \bigcap_{i=1}^k \left(\sum_{j \neq i} \langle v_j \rangle^\perp \right)_{1, \dots, 1}.$$

Complete $v_i = v_{i,1}$ to a basis $\{v_{i,1}, \dots, v_{i, n_i+1}\}$ of V_i . We label the dual basis of V_i^\vee by $\{v^{i,1}, \dots, v^{i, n_i+1}\}$. In the dual basis, $\langle v_j \rangle^\perp$ is generated by $\{v^{j,2}, \dots, v^{j, n_j+1}\}$. Now $\left(\sum_{j \neq i} \langle v_j \rangle^\perp \right)_{1, \dots, 1}$ contains all monomials with multi-degree $(1, \dots, 1)$ with the exception of the following $n_i + 1$:

$$\{v^{1,1} \otimes v^{2,1} \otimes \dots \otimes v^{i-1,1} \otimes v^{i,j} \otimes v^{i-1,1} \otimes \dots \otimes v^{k,1} \mid 1 \leq j \leq n_i + 1\}.$$

Hence $\bigcap_{i=1}^k (\sum_{j \neq i} \langle v_j \rangle^\perp)_{1, \dots, 1}$ is generated by all basis elements $\alpha_1 \otimes \dots \otimes \alpha_k$ with $\alpha_j \neq v_j^{\perp,1}$ for at least two different values of the index j . These are exactly the generators of $[(\langle v_1 \rangle^\perp + \dots + \langle v_k \rangle^\perp)^2]_{1, \dots, 1}$. \square

A subspace $Y \subseteq V_1 \otimes \dots \otimes V_k$ is called *monomial* if there exist bases of V_1, \dots, V_k such that a basis of Y can be expressed in terms of monomials in the bases of V_1, \dots, V_k .

Corollary 2.3. *Let $p = v_1 \otimes \dots \otimes v_k$ be a point of $X = \mathbb{P}(V_1) \times \dots \times \mathbb{P}(V_k)$. Then $T_p X$ and $T_p X^\perp$ are monomial subspaces.*

Now fix a subspace $H \subseteq V_1$ of dimension h . For any $p = v_1 \otimes \dots \otimes v_k$, we have either $v_1 \notin H$ or $v_1 \in H$.

Let

$$f: V_1^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee \longrightarrow H^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee,$$

be the natural projection and let

$$(2.1) \quad 0 \longrightarrow K \longrightarrow T_p X^\perp \longrightarrow f(T_p X^\perp) \longrightarrow 0$$

be the restriction exact sequence, where $K = T_p X^\perp \cap [(V_1/H)^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee]$. Clearly both $f(T_p X^\perp)$ and K depend heavily on whether $v_1 \notin H$ or $v_1 \in H$. This dependence is captured in the following:

Lemma 2.4. *Consider a point $v_1 \in V$ and a subspace $H \subseteq V$.*

(i) *If $v_1 \notin H$, let $[v_1] \in V/H$ denote its quotient class. We have*

$$f(T_p X^\perp) = [\langle v_2 \rangle^\perp + \dots + \langle v_k \rangle^\perp]_{1, \dots, 1},$$

which has codimension h in $H^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee$, and

$$K = [(\langle [v_1] \rangle^\perp + \langle v_2 \rangle^\perp + \dots + \langle v_k \rangle^\perp)^2]_{1, \dots, 1},$$

which has codimension $1 + \sum_{i=2}^k n_i + (n_1 - h)$ in $(V_1/H)^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee$.

(ii) *If $v_1 \in H$, we have*

$$f(T_p X^\perp) = [(\langle v_1 \rangle^\perp + \langle v_2 \rangle^\perp + \dots + \langle v_k \rangle^\perp)^2]_{1, \dots, 1},$$

which has codimension $h + \sum_{i=2}^k n_i$ in $H^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee$, and

$$K = [\langle v_2 \rangle^\perp + \dots + \langle v_k \rangle^\perp]_{1, \dots, 1},$$

which has codimension $n_1 + 1 - h$ in $(V_1/H)^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee$.

Proof. We first consider the case where $v_1 \notin H$. In this setting, $(\langle v_1 \rangle^\perp)$ projects to the entire subspace H^\vee . Hence every element in $[\langle v_2 \rangle^\perp + \dots + \langle v_k \rangle^\perp]_{1, \dots, 1}$ is the projection of an element of $(\langle v_1 \rangle^\perp) \cap (\langle v_i \rangle^\perp)$ for some i . Both the assertion about K and the inclusion $f(T_p X^\perp) \subseteq [\langle v_2 \rangle^\perp + \dots + \langle v_k \rangle^\perp]_{1, \dots, 1}$ are clear. From (ii) of Lemma 2.2, we have $[\langle v_2 \rangle^\perp + \dots + \langle v_k \rangle^\perp]_{1, \dots, 1} \subseteq f(T_p X^\perp)$. The proof for the case where $v_1 \in H$ is analogous and is left to the reader. Note that $T_p X^\perp$ has codimension $1 + \sum_{i=1}^k n_i$ in $V_1^\vee \otimes \dots \otimes V_k^\vee$. From this fact, the statements about the codimension of K follow. \square

We now look at the connection with the secant varieties of $X = \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k}$. The expected dimension of $\sigma_p(X)$ is

$$\min \left\{ \prod_{i=1}^k (n_i + 1) - 1, p \left(\sum_{i=1}^k n_i \right) + (p - 1) \right\}.$$

There is a unique integer s such that $\sigma_s(X)$ fills the ambient space and $\sigma_{s-1}(X)$ does not. The expected value for such an s is

$$(2.2) \quad S(n_1, \dots, n_k) := \left\lceil \frac{\prod_{i=1}^k (n_i + 1)}{\left(\sum_{i=1}^k n_i\right) + 1} \right\rceil.$$

A standard application of Terracini’s lemma, as in [CGG2], shows that $\sigma_s(X)$ has the expected dimension if and only if for s generic points p_1, \dots, p_s , the linear space $[T_{p_1} X^\perp \cap \dots \cap T_{p_s} X^\perp]$ has the expected codimension in $T_{1, \dots, 1}^\vee$, that is,

$$\begin{cases} s(\sum_{i=1}^k n_i) + 1 & \text{for } s < S(n_1, \dots, n_k), \\ \prod_{i=1}^k (n_i + 1) & \text{for } s \geq S(n_1, \dots, n_k). \end{cases}$$

Consider again the point $p = v_1 \otimes \dots \otimes v_k$. Lemma 2.4 suggests we focus our attention on the subspaces $G_p^i X \subseteq T_{1, \dots, 1}$ defined by

$$G_p^i X^\perp = \left[\left(\sum_{j \neq i} \langle v_j \rangle^\perp \right) \right]_{1, \dots, 1}.$$

It is easy to check that

$$G_p^i X = (v_1 \otimes \dots \otimes v_{i-1} \otimes V_i \otimes v_{i+1} \otimes \dots \otimes v_k)$$

has dimension $n_i + 1$ in $T_{1, \dots, 1}$ (and that $G_p^i X^\perp$ has codimension $n_i + 1$ in $T_{1, \dots, 1}^\vee$).

Remark 2.5. We sketch the geometrical construction which is behind the tensor algebra of this section. We have denoted by $\mathbb{P}(V_1)$ the projective space of lines in V_1 , so that $H^0(\mathbb{P}(V_1), \mathcal{O}(1)) = V_1^\vee$. The subvariety $X' = \mathbb{P}(H) \times \mathbb{P}(V_2) \times \dots \times \mathbb{P}(V_k) \subset X$ is the zero locus of a section of the vector bundle $(V_1/H) \otimes \mathcal{O}_X(1, 0, \dots, 0)$. Let $\mathbf{0} = (0, \dots, 0) \in \mathbb{N}^{k-1}$. We get the Koszul complex

$$(2.3) \quad \dots \rightarrow \wedge^2(V_1/H)^\vee \otimes \mathcal{O}_X(-2, \mathbf{0}) \rightarrow (V_1/H)^\vee \otimes \mathcal{O}_X(-1, \mathbf{0}) \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_{X'} \rightarrow 0.$$

After tensoring (2.3) by $\mathcal{O}_X(1, 1, \dots, 1)$ and taking cohomology we get

$$0 \rightarrow (V_1/H)^\vee \otimes \left(\bigotimes_{i=2}^k V_i^\vee \right) \rightarrow \bigotimes_{i=1}^k V_i^\vee \rightarrow H^\vee \otimes \left(\bigotimes_{i=2}^k V_i^\vee \right) \rightarrow 0.$$

Let p be a double point on X . After tensoring (2.3) by $I_p^2 \otimes \mathcal{O}_X(1, 1, \dots, 1)$ and taking cohomology we get exactly sequence (2.1):

$$\begin{array}{ccccccc} 0 & \rightarrow & K & \rightarrow & T_p X^\perp & \rightarrow & f(T_p X^\perp) & \rightarrow & 0 \\ & & \cap & & \cap & & \cap & & \\ 0 & \rightarrow & (V_1/H)^\vee \otimes \left(\bigotimes_{i=2}^k V_i^\vee \right) & \rightarrow & \bigotimes_{i=1}^k V_i^\vee & \rightarrow & H^\vee \otimes \left(\bigotimes_{i=2}^k V_i^\vee \right) & \rightarrow & 0. \end{array}$$

Hence, in the language of [AH], $f(T_p X^\perp)$ plays the role of trace and K plays the role of residual.

3. INDUCTION FOR SECANT VARIETIES OF SEGRE VARIETIES

In this section, we develop a method of induction for secant varieties of Segre varieties.

Notation 3.1. We now fix the notation that will be used throughout this section.

- $X = \mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \dots \times \mathbb{P}^{n_k}$.
- If $\mathbf{n} = (n_1, \dots, n_k)$, then $\mathbb{P}^{\mathbf{n}} = \mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \dots \times \mathbb{P}^{n_k}$.
- For s generic points $p_1, \dots, p_s \in X$ let $T_s X = T_{p_1} X + \dots + T_{p_s} X$.
- For t generic points $q_1, \dots, q_t \in X$ let $G_t^i X = G_{q_1}^i X + \dots + G_{q_t}^i X$.

This notation leads to the following fundamental definition.

Definition 3.2. Let s, a_1, a_2, \dots, a_k be non-negative integers and let $X = \mathbb{P}^{\mathbf{n}}$.

- If for $s + a_1 + a_2 + \dots + a_k$ generic points, the linear space spanned by $T_s X + G_{a_1}^1 X + G_{a_2}^2 X + \dots + G_{a_k}^k X \subseteq T_{(1, \dots, 1)}$ has dimension

$$D = \min\left\{s(1 + \sum_{i=1}^k n_i) + \sum_{i=1}^k (a_i(n_i + 1)), \prod_{i=1}^k (n_i + 1)\right\},$$

then we say that $T(n_1, \dots, n_k; s; a_1, \dots, a_k)$ is true. At times we will abbreviate this by $T(\mathbf{n}, s, \mathbf{a})$. By duality, we have the equivalent definition that $T(\mathbf{n}, s, \mathbf{a})$ is true if and only if for $s + \sum a_i$ generic points, the intersection $T_s X^\perp \cap G_{a_1}^1 X^\perp \cap G_{a_2}^2 X^\perp \cap \dots \cap G_{a_k}^k X^\perp \subseteq T_{(1, \dots, 1)}^\vee$ has codimension D .

- If $s(1 + \sum n_i) + \sum (a_i(n_i + 1)) \leq \prod (n_i + 1)$, then $(\mathbf{n}, s, \mathbf{a})$ is called *subabundant*.
- If $s(1 + \sum n_i) + \sum (a_i(n_i + 1)) \geq \prod (n_i + 1)$, then $(\mathbf{n}, s, \mathbf{a})$ is called *superabundant*.
- If $s(1 + \sum n_i) + \sum (a_i(n_i + 1)) = \prod (n_i + 1)$, then $(\mathbf{n}, s, \mathbf{a})$ is called *equiabundant*.
- If $(\mathbf{n}, s, \mathbf{0})$ is equiabundant and $T(\mathbf{n}, s, \mathbf{0})$ is true, then $\mathbb{P}^{\mathbf{n}}$ is called *perfect*.
- If $(\prod_{i=1}^k (n_i + 1)) / (1 + \sum_{i=1}^k n_i)$ is an integer, then \mathbf{n} is called *numerically perfect*.

For efficiency, we will often write statements such as $T(\mathbf{n}, s, \mathbf{a})$ is true and subabundant when we really mean $T(\mathbf{n}, s, \mathbf{a})$ is true and $(\mathbf{n}, s, \mathbf{a})$ is subabundant.

Remark 3.3. Given two k -dimensional vectors \mathbf{n}, \mathbf{n}' , we say $\mathbf{n}' \leq \mathbf{n}$ if $n'_i \leq n_i$ for each $1 \leq i \leq k$. We make three simple remarks:

- (i) $T(n_1, \dots, n_k; s; 0, \dots, 0)$ is true if and only if $\sigma_s(\mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \dots \times \mathbb{P}^{n_k})$ has the expected dimension.
- (ii) If $T(\mathbf{n}, s, \mathbf{a})$ is true and subabundant, then $T(\mathbf{n}, s', \mathbf{a}')$ is true and subabundant for any choice of s', \mathbf{a}' with $s' \leq s$ and $\mathbf{a}' \leq \mathbf{a}$.
- (iii) If $T(\mathbf{n}, s, \mathbf{a})$ is true and superabundant, then $T(\mathbf{n}, s', \mathbf{a}')$ is true and superabundant for any choice of s', \mathbf{a}' with $s \leq s'$ and $\mathbf{a} \leq \mathbf{a}'$.

A main goal of this paper is to demonstrate how induction can be used to show that $T(\mathbf{n}, s, \mathbf{0})$ is true for many choices of \mathbf{n} and s . For this purpose it is enough to show that

$$\dim [T_{p_1} X^\perp \cap \dots \cap T_{p_s} X^\perp]$$

is less than or equal to the expected value for some choice of points p_1, \dots, p_s . By semicontinuity, establishing that the expected dimension holds in a particular case

forces the expected dimension to hold in the general case. We reduce the size of a given problem through the specialization of sets of points. For instance, if $H \subseteq V_1$ is a subspace, then we may specialize t points among the points p_1, \dots, p_s such that $p_i \in H$ for $i = 1, \dots, t$ and then make our computation in this setting. If non-defectivity holds for a set of specialized points, then it will hold for a set with the same number of general points. This allows us to develop the following induction theorem.

Theorem 3.4 (Subabundance Theorem). *Let $n_1 = n'_1 + n''_1 + 1$, let $s = s' + s''$, $a_2 = a'_2 + a''_2, \dots, a_k = a'_k + a''_k$. Suppose*

- (i) $T(n'_1, n_2, \dots, n_k; s'; a_1 + s'', a'_2, \dots, a'_k)$ is true and subabundant,
- (ii) $T(n''_1, n_2, \dots, n_k; s''; a_1 + s', a''_2, \dots, a''_k)$ is true and subabundant.

Then $T(n_1, \dots, n_k; s; a_1, \dots, a_k)$ is true and subabundant.

Proof. Let $H \subseteq V_1$ be a subspace of dimension $n'_1 + 1$ and let $X' = \mathbb{P}(H) \times \mathbb{P}(V_2) \times \dots \times \mathbb{P}(V_k)$ be embedded in $\mathbb{P}(H \otimes V_2 \otimes \dots \otimes V_k)$. In the same way let $X'' = \mathbb{P}(V_1/H) \times \mathbb{P}(V_2) \times \dots \times \mathbb{P}(V_k)$ be embedded in $\mathbb{P}(V_1/H \otimes V_2 \otimes \dots \otimes V_k)$. Consider s points p_1, \dots, p_s and specialize $p_i = v_{1,i} \otimes v_{2,i} \otimes \dots \otimes v_{k,i}$ in such a way that $v_{1,i} \in H$ for $i = 1, \dots, s'$. Let $f: V_1^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee \rightarrow H^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee$ be the natural projection.

By Lemma 2.4 we have $f(T_{p_i} X^\perp) = T_{p_i} X'^\perp$ for $i = 1, \dots, s'$ and $f(T_{p_i} X^\perp) = G_{p_i}^1 X^\perp$ for $i = s' + 1, \dots, s$. More precisely we have the exact sequences

$$0 \rightarrow G_{p_i}^1 X''^\perp \rightarrow T_{p_i} X^\perp \rightarrow T_{p_i} X'^\perp \rightarrow 0,$$

for $i = 1, \dots, s'$ and the exact sequences

$$0 \rightarrow T_{[p_i]} X''^\perp \rightarrow T_{p_i} X^\perp \rightarrow G_{p_i}^1 X^\perp \rightarrow 0,$$

for $i = s' + 1, \dots, s$ (where $[p_i]$ denotes the quotient class of p_i).

Combining these exact sequences yields

$$0 \rightarrow \bigcap_{i \leq s'} G_{p_i}^1 X''^\perp \cap \bigcap_{i > s'} T_{[p_i]} X''^\perp \rightarrow \bigcap_{i=1}^s T_{p_i} X^\perp \rightarrow \bigcap_{i \leq s'} T_{p_i} X'^\perp \cap \bigcap_{i > s'} G_{p_i}^1 X^\perp.$$

We want to compute the dimension of the middle term $\bigcap_{i=1}^s T_{p_i} X^\perp$. This explains why we have to include the spaces $G_{p_i}^i$ in the inductive procedure from the very beginning.

Consider a_1 generic points $q_{1,1}, \dots, q_{1,a_1} \in X$. We get the exact sequences

$$0 \rightarrow G_{q_{1,i}}^1 X''^\perp \rightarrow G_{q_{1,i}}^1 X^\perp \rightarrow G_{q_{1,i}}^1 X'^\perp \rightarrow 0,$$

for $i = 1, \dots, a_1$.

Consider a_2 generic points $q_{2,1}, \dots, q_{2,a_2} \in X$ and specialize $q_{2,i} = v_{1,2,i} \otimes v_{2,2,i} \otimes \dots \otimes v_{k,2,i}$ in such a way that $v_{1,2,i} \in H$ for $i = 1, \dots, a'_2$.

We get that

$$G_{q_{2,i}}^2 X \simeq G_{q_{2,i}}^2 X',$$

for $i = 1, \dots, a'_2$ and that

$$G_{[q_{2,i}]}^2 X'' \simeq G_{q_{2,i}}^2 X,$$

for $i = a'_2 + 1, \dots, a_2$ (where $[q_{2,i}]$ denotes the quotient class of $q_{2,i}$).

In the same way, for a_t generic points $q_{t,1}, \dots, q_{t,a_t} \in X$ we get that

$$G_{q_{t,i}}^t X \simeq G_{q_{t,i}}^t X',$$

for $i = 1, \dots, a'_t$ and that

$$G_{[q_{t,i}]}^t X'' \simeq G_{q_{t,i}}^t X,$$

for $i = a'_t + 1, \dots, a_t$.

Putting all of this together, we get the *fundamental exact sequence*

$$\begin{aligned} 0 \longrightarrow T_{s''} X''^\perp \cap G_{a_1+s'}^1 X''^\perp \cap G_{a_2}^2 X''^\perp \cap \dots \cap G_{a'_k}^k X''^\perp \longrightarrow \\ T_s X^\perp \cap G_{a_1}^1 X^\perp \cap G_{a_2}^2 X^\perp \cap \dots \cap G_{a_k}^k X^\perp \longrightarrow T_{s'} X'^\perp \cap G_{a_1+s''}^1 X'^\perp \cap G_{a_2}^2 X'^\perp \\ \cap \dots \cap G_{a'_k}^k X'^\perp. \end{aligned}$$

By assumption (1), the right term has codimension $s'(1 + n'_1 + n_2 + \dots + n_k) + (a_1 + s'')(n'_1 + 1) + a'_2(n_2 + 1) + \dots + a'_k(n_k + 1)$ in $H^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee$, meaning that all the intersections are transverse. By assumption (2), the left term has codimension $s''(1 + n''_1 + n_2 + \dots + n_k) + (a_1 + s')(n''_1 + 1) + a''_2(n_2 + 1) + \dots + a''_k(n_k + 1)$ in $(V_1/H)^\vee \otimes V_2^\vee \otimes \dots \otimes V_k^\vee$. It follows that the middle term has codimension greater than or equal to $s(1 + \sum n_i) + \sum (a_i)(n_i + 1)$. Since this is the expected value, we have equality. \square

In the same way we have

Theorem 3.5 (Superabundance Theorem). *Let $n_1 = n'_1 + n''_1 + 1$, let $s = s' + s''$, $a_2 = a'_2 + a''_2, \dots, a_k = a'_k + a''_k$. Suppose*

- (i) $T(n'_1, n_2, \dots, n_k; s'; a_1 + s'', a'_2, \dots, a'_k)$ is true and superabundant,
- (ii) $T(n''_1, n_2, \dots, n_k; s''; a_1 + s', a''_2, \dots, a''_k)$ is true and superabundant.

Then $T(n_1, \dots, n_k; s; a_1, \dots, a_k)$ is true and superabundant.

Proof. We proceed as in the previous theorem until we get to the *fundamental exact sequence*. By assumption (i), the right term is zero. By assumption (ii), the left term is zero. It follows that the middle term is zero, as required. \square

Corollary 3.6. *If the following statements are both true and equiabundant:*

$T(n'_1, n_2, \dots, n_k; s'; a_1 + s'', a'_2, \dots, a'_k), T(n''_1, n_2, \dots, n_k; s''; a_1 + s', a''_2, \dots, a''_k),$
then $T(n_1, \dots, n_k; s; a_1, \dots, a_k)$ is true and equiabundant.

Remark 3.7. A simple but useful fact is that if $T(n_1, \dots, n_k; s; a_1, \dots, a_k)$ is true, then $T(n_1, \dots, n_k, 0; s; a_1, \dots, a_k, A)$ is true for any value of A .

It is important to note that if $n_1 = 1$, then we may take $n'_1 = n''_1 = 0$ in Theorem 3.4 and Theorem 3.5. This allows us to reduce to a lower number of factors. Due to the importance of these cases, we state them explicitly as corollaries.

Corollary 3.8. *Let $s = s' + s''$ and let $a_j = a'_j + a''_j$, for $j = 2, \dots, k$. Suppose that $(0, n_2, \dots, n_k; s; a_1, \dots, a_k)$ is subabundant. Then $T(0, n_2, \dots, n_k; s; 0, a_2, \dots, a_k)$ is true if and only if $T(0, n_2, \dots, n_k; s; a_1, a_2, \dots, a_k)$ is true.*

Proof. We reduce to Theorem 3.4 because the corresponding condition $G^{1\perp}$ is of codimension one and is independent from the other conditions provided subabundance is satisfied. If a_1 is such that $(1, n_2, \dots, n_k; s; a_1, \dots, a_k)$ is superabundant, then $T(1, n_2, \dots, n_k; s; a_1, \dots, a_k)$ is also true as the ambient space is filled. \square

Corollary 3.9. *Let $s = s' + s''$ and let $a_j = a'_j + a''_j$, for $j = 2, \dots, k$. If both $T(n_2, \dots, n_k; s'; a'_2, \dots, a'_k)$ and $T(n_2, \dots, n_k; s''; a''_2, \dots, a''_k)$ are true and superabundant, then $T(1, n_2, \dots, n_k; s; a_1, a_2, \dots, a_k)$ is true (and superabundant).*

Remark 3.10. Theorem 3.4 and Theorem 3.5 should be viewed as a generalization of the Splitting Method of Bürgisser, Clausen and Shokrollahi from the case of 3 factors to the case of k factors [BCS]. The proof given in the present paper takes a more geometric and homological point of view and mirrors the ideas of Alexander-Hirschowitz and Terracini in the use of degeneration arguments [AH, T]. A proof written purely in the language of tensor algebra would also be natural following the approach of Bürgisser et al. This would have the advantage of conciseness, but the geometry would be pushed more to the background.

Recall that if $X \subseteq \mathbb{P}^m$ is a variety and if W_1, \dots, W_p are subvarieties of X , then $J(W_1, \dots, W_p)$ is called a partial secant variety to X . In the particular case when X is the Segre variety $\mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k}$, the linear space L spanned by $T_s X + G_{a_1}^1 X + G_{a_2}^2 X + \dots + G_{a_k}^k X \subseteq T_{(1, \dots, 1)}$ should be seen as the tangent space to a particular partial secant variety of X . The expression $T_s X$ corresponds to computing the tangent space to X at s general points. The expression $G_p^1 X$ corresponds to computing the tangent space at a general point, $p = v_1 \times \dots \times v_k$, of a subvariety of X of the form $\mathbb{P}^{n_1} \times v_2 \times \dots \times v_k$. Such a subvariety is a \mathbb{P}^{n_1} sitting inside X . The expression $G_{a_1}^1 X$ corresponds to computing the span of the tangent spaces to a_1 different such subvarieties for a_1 different choices of p . Similarly, each of the other $G_{a_i}^i$ represent the span of tangent spaces to a_i different varieties in the family of \mathbb{P}^{n_i} 's obtained by fixing all but the i th coordinate. Thus viewing L as a tangent space at a general point of the join of a collection of $s + a_1 + \dots + a_k$ subvarieties of X follows as an immediate application of Terracini's Lemma as stated in [A]. Furthermore, $a_1 + \dots + a_k$ of the subvarieties are linear spaces inside X .

Theorem 3.4 should be viewed as a way of computing the dimension of a secant variety by applying semicontinuity arguments to the computation of the dimension of smaller partial secant varieties arising from specializations of points. It is clear that after a finite number of applications of the previous two theorems, we may reduce ourselves to the four projective varieties:

$$\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1, \quad \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^2, \quad \mathbb{P}^1 \times \mathbb{P}^2 \times \mathbb{P}^2 \quad \text{and} \quad \mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2.$$

The importance of this reduction is emphasized in the following proposition, which was essentially proved by Strassen:

Proposition 3.11 ([S]). *Suppose $T(\mathbf{n}, s, \mathbf{a})$ is true.*

- (i) *If $T(\mathbf{n}, s, \mathbf{a})$ is subabundant and if $\mathbf{n}' \geq \mathbf{n}$, then $T(\mathbf{n}', s, \mathbf{a})$ is true and subabundant.*
- (ii) *If $T(\mathbf{n}, s, \mathbf{a})$ is superabundant and if $\mathbf{n}' \leq \mathbf{n}$, then $T(\mathbf{n}', s, \mathbf{a})$ is true and superabundant.*

Proof. In order to prove the first statement we can reduce to the case where $n_i = n'_i$ for $i = 1, \dots, k - 1$ and $n_k + 1 = n'_k$. Fix a splitting $V'_k = V_k \oplus \langle v \rangle$. This induces an inclusion $X = \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k} \subset \mathbb{P}^{n'_1} \times \dots \times \mathbb{P}^{n'_k} = X'$ corresponding to the splitting

$$(3.1) \quad V'_1 \otimes \dots \otimes V'_k = (V_1 \otimes \dots \otimes V_k) \oplus (V_1 \otimes \dots \otimes V_{k-1} \otimes \langle v \rangle).$$

Pick a point $p = v_1 \otimes \dots \otimes v_k \in \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k}$. In affine notation we have

$$\begin{aligned} T_p X' &= T_p X \oplus \langle v_1 \otimes \dots \otimes v_{k-1} \otimes v \rangle, \\ G_p^i X' &= G_p^i X \text{ for } i = 1, \dots, k-1, \\ G_p^k X' &= G_p^k X \oplus \langle v_1 \otimes \dots \otimes v_{k-1} \otimes v \rangle, \end{aligned}$$

and these splittings are compatible with (3.1). Now it is easy to check that if $T_{p_i} X$ and $G_{q_{t,i}}^t X$ are transversal, then $T_{p_i} X'$ and $G_{q_{t,i}}^t X'$ are also transversal. The second statement proceeds in an analogous manner. \square

Remark 3.12. We can utilize Proposition 3.11 for higher dimensional Segre varieties by “padding with zeroes”. For instance, if $T(n_1, n_2, n_3; s; 0, 0, 0)$ is true and subabundant, then we can pad with a zero to obtain that $T(n_1, n_2, n_3, 0; s; 0, 0, 0, 0)$ is true and subabundant. Thus, by Proposition 3.11, $T(n_1, n_2, n_3, n_4; s; 0, 0, 0, 0)$ is true and subabundant for any n_4 (being sure to keep s fixed).

Notation 3.13. We introduce the notation $b * T(\mathbf{n}; s; \mathbf{a})$ to denote b identical statements of the form $T(\mathbf{n}; s; \mathbf{a})$.

For $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$, $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^2$, $\mathbb{P}^1 \times \mathbb{P}^2 \times \mathbb{P}^2$ and $\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2$, we list the 4-tuples $(s; a_1, a_2, a_3)$ where the statement $T(n_1, n_2, n_3; s; a_1, a_2, a_3)$ is not true. For the varieties $\mathbb{P}^1 \times \mathbb{P}^2 \times \mathbb{P}^2$ and $\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2$, we divide the list into the minimal cases and the non-minimal cases. The defectivity of each of the non-minimal cases follows directly from the defectivity of one of the minimal cases. The defectivity of the minimal cases are all established by the elementary arguments given in the following four lemmas. The non-defectivity of the cases not appearing on these lists can be established by explicit computation.

For a given 4-tuple (n_1, n_2, n_3, a_3) , we define the following three integers:

$$F_0 = \begin{cases} a_3 + 1 + \sum_{i=1}^2 n_i - \prod_{j=1}^2 (n_j + 1) & \text{if } a_3 + 1 + \sum_{i=1}^2 n_i > \prod_{j=1}^2 (n_j + 1) \\ 0 & \text{otherwise} \end{cases}$$

and

$$F_i = \begin{cases} (n_i + 1) + a_3 - \prod_{j=1}^2 (n_j + 1) & \text{if } (n_i + 1) + a_3 > \prod_{j=1}^2 (n_j + 1) \\ 0 & \text{otherwise} \end{cases}$$

for each $i \in \{1, 2\}$.

Lemma 3.14. *Let $X = \mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \mathbb{P}^{n_3}$. Then $\sum_{i=1}^s T_s(X) + G_{a_1}^1 X + G_{a_2}^2 X + G_{a_3}^3 X$ has dimension at most*

$$\min \left\{ s \left(1 + \sum_{i=1}^3 n_i - F_0 \right) + \sum_{i=1}^3 a_i (n_i + 1) - \sum_{i=1}^2 F_i, \prod_{i=1}^3 (n_i + 1) \right\}.$$

Proof. Note that X can be viewed as a $(\mathbb{P}^{n_1} \times \mathbb{P}^{n_2})$ -fibration over \mathbb{P}^{n_3} . Let q_1, \dots, q_{a_3} be general points of X . For each $i \in \{1, \dots, a_3\}$, the projectivization of $G_{q_i}^3 X$ is a horizontal n_3 -plane, which meets each fiber at a single point. The a_3 points as obtained above span a $\mathbb{P}^{a_3-1} \subset \mathbb{P}^{(n_1+1)(n_2+1)-1}$. Then the intersection of the tangent space to $\mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \subset \mathbb{P}^{(n_1+1)(n_2+1)-1}$ with \mathbb{P}^{a_3-1} has at least dimension $F_0 - 1$.

Similarly, for each $i \in \{1, 2\}$, the projectivization of $G_p^i X$, $p \in X$, lies in a $\mathbb{P}^{(n_1+1)(n_2+1)-1}$, and its intersection with $\mathbb{P}^{a_3-1} \times \mathbb{P}^{(n_1+1)(n_2+1)-1}$ has dimension at

least $F_i - 1$. Thus $\sum_{i=1}^s T_s(X) + G_{a_1}^1 X + G_{a_2}^2 X + G_{a_3}^3 X$ has dimension at most

$$s \left(1 + \sum_{i=1}^3 n_i - F_0 \right) + \sum_{i=1}^3 a_i(n_i + 1) - \sum_{i=1}^2 F_i$$

if $s \left(1 + \sum_{i=1}^3 n_i - F_0 \right) + \sum_{i=1}^3 a_i(n_i + 1) - \sum_{i=1}^2 F_i \leq \prod_{i=1}^3 (n_i + 1)$. □

Lemma 3.15. $T(\mathbf{n}; s; \mathbf{a})$ is false for $(\mathbf{n}; s; \mathbf{a}) = (1, 2^2; 2; 0, 0, 2)$, $(2^3; 2; 0, 0, 4)$ and $(2^3; 3; 0, 1, 1)$.

Proof. The main idea of this lemma is to use the contrapositive of Theorem 3.4. Note that $(1, 2, 5; 4; 0, 0, 0)$ is unbalanced (see Lemma 4.1 and Definition 4.2). Thus the statement $T(1, 2, 5; 4; 0, 0, 0)$ is equiabundant, but not true. One can reduce this statement to the equiabundant statement $2 * T(1, 2, 2; 2, 0, 2)$. So the fact that $T(1, 2, 5; 4; 0, 0, 0)$ is not true implies that $T(1, 2, 2; 2, 0, 2)$ is not true.

In a similar manner, we can prove that $T(2, 2, 2; 2, 0, 4)$ is not true. Note that $(2, 2, 8; 6; 0, 0, 0)$ is unbalanced (see Proposition 4.1) and $T(2, 2, 8; 6; 0, 0, 0)$ is false. One can reduce this statement to $3 * T(2, 2, 2; 2; 0, 0, 4)$. So the fact that $T(2, 2, 8; 6; 0, 0, 0)$ is not true implies that $T(2, 2, 2; 2; 0, 0, 4)$ is not true.

By Proposition 4.10, the subabundant statement $T(2, 3, 3; 5; 0, 0, 0)$ is false. This implies that one of the statements $T(2, 2, 3; 4; 0, 1, 0)$ and $T(2, 0, 3; 1; 0, 4, 0)$ is false. Clearly the second statement is true, and so $T(2, 2, 3; 4; 0, 1, 0)$ cannot be true. Since $T(2, 2, 3; 4; 0, 1, 0)$ can be reduced to the subabundant statements $T(2, 2, 2; 3; 0, 1, 1)$ or $T(2, 2, 0; 1, 0, 0, 3)$, we can say that either $T(2, 2, 2; 3; 0, 1, 1)$ or $T(2, 2, 0; 1, 0, 0, 3)$ is false. Since the second statement is true, we can conclude that $T(2, 2, 2; 3; 0, 1, 1)$ is false, which completes the proof. □

Lemma 3.16. $T(2, 2, 2; 4; 0, 0, 0)$ is false.

Proof. This case is well known. The geometrical explanation is the following. Given four points in $X = \mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2 \subset \mathbb{P}^{26}$, we can project on each factor, and get isomorphisms that identify the three factors. The diagonal surface, after this identification, is the 3-Veronese embedding of \mathbb{P}^2 , which contains the four original points and spans a linear \mathbb{P}^9 . The four tangent spaces to X at these points meet the \mathbb{P}^9 in dimension ≥ 2 , and the dimension of $\sigma_4(X)$ is at most $9 + 4 \cdot 4 = 25$. □

Lemma 3.17. $T(n_1, n_2, n_2; s; a_1, a_2, a_3)$ is false if $(n_1, n_2, n_2; s; a_1, a_2, a_3)$ is one of the following eight cases:

- (1) $(1, 1, 2; 2; 0, 0, 1)$, $(1, 2, 2; 2; 0, 0, 3)$, $(2, 2, 2; 2; 0, 0, 5)$, $(2, 2, 2; 2; 0, 0, 6)$;
- (2) $(1, 1, 2; 1; 0, 1, 2)$, $(1, 2, 2; 1; 0, 1, 4)$, $(1, 2, 2; 1; 1, 0, 4)$, $(2, 2, 2; 1; 0, 1, 7)$.

Proof. Consider a statement of the form $T(n_1, n_2, n_3; s; 0, 0, a_3)$. Suppose that the following three conditions are satisfied:

- (i) $(n_1, n_2, n_3; s; 0, 0, a_3)$ is superabundant;
- (ii) $\prod_{i=1}^2 (n_i + 1) - \sum_{i=1}^2 n_i < s + a_3 < \prod_{i=1}^2 (n_i + 1)$;
- (iii) $(n_1, n_2, s + a_3 - n_3 - 1; a_3; 0, 0, s)$ is superabundant.

Then $(n_1, n_2, s + a_3)$ is unbalanced (see Definition 4.2) and $T(n_1, n_2, s + a_3; s + a_3; 0, 0, 0)$ is false (see Proposition 4.1). Thus if $T(n_1, n_2, s + a_3 - n_3 - 1; a_3; 0, 0, s)$ is true, then $T(n_1, n_2, n_3; s; 0, 0, a_3)$ should fail. One can prove that every 7-tuple in (1) satisfies (i), (ii) and (iii), and the above argument can be used to prove that $T(n_1, n_2, n_3; s; a_1, a_2, a_3)$ is false if the 7-tuple is in (1). For instance,

$T(1, 1, 3; 3; 0, 0, 0)$ is not true, because $(1, 1, 2; 2; 0, 0, 1)$ satisfies the three conditions as given above. Thus $T(1, 1, 3; 3; 0, 0, 0)$ can be reduced to $T(1, 1, 0; 1; 0, 0, 2)$ and $T(1, 1, 2; 2; 0, 0, 1)$. We can conclude that $T(1, 1, 2; 2; 0, 0, 1)$ is false, because $T(1, 1, 0; 1; 0, 0, 2)$ is true.

Next consider a statement of the form $T(n_1, n_2, n_3; s; 0, a_2, a_3)$. Suppose that the following three conditions are satisfied:

- (iv) $(n_1, n_2, n_3; s; 0, a_2, a_3)$ is superabundant;
- (v) $(n_1 + 1)(2n_2 + 2) - (n_1 + 2n_2 + 1) < s + a_2 + 2a_3 < (n_1 + 1)(2n_2 + 2) - (n_1 + 2n_2 + 1)$;
- (vi) $(n_1, 2n_2 + 1, s + a_2 + 2a_3 - n_3 - 1; 2a_3; 0, 0, s + a_2)$ is superabundant.

Then $(n_1, n_2, s + a_2 + 2a_3)$ is unbalanced and $T(n_1, 2n_2 + 1, s + a_2 + 2a_3; s + a_2 + 2a_3; 0, 0, 0)$ is false. Suppose that $T(n_1, 2n_2 + 1, s + a_2 + 2a_3 - n_3 - 1; 2a_3; 0, 0, s + a_2)$ is true. One can reduce $T(n_1, 2n_2 + 1, s + a_2 + 2a_3; s + a_2 + 2a_3; 0, 0, 0)$ to $T(n_1, 2n_2 + 1, s + a_2 + 2a_3 - n_3 - 1; 2a_3; 0, 0, s + a_2)$ and $T(n_1, 2n_2 + 1, n_3; s + a_2; 0, 0, 2a_3)$. So if $T(n_1, 2n_2 + 1, s + a_2 + 2a_3 - n_3 - 1; 2a_3; 0, 0, s + a_2)$ is true, then $T(n_1, 2n_2 + 1, n_3; s + a_2; 0, 0, 2a_3)$ is false. Note that $T(n_1, 2n_2 + 1, n_3; s + a_2; 0, 0, 2a_3)$ can be reduced to $2 * T(n_1, n_2, n_3; s; 0, a_2, a_3)$. Thus $T(n_1, n_2, n_3; s; 0, a_2, a_3)$ is also false. This argument can be used to prove the remaining cases. For instance, $(1, 1, 2; 1; 0, 1, 2)$ satisfies (iv), (v) and (vi). Thus $T(1, 3, 6; 6; 0, 0, 0)$ is not true. $T(1, 3, 6; 6; 0, 0, 0)$ can be reduced to $T(1, 3, 2; 2; 0, 0, 4)$ and $T(1, 3, 3; 4; 0, 0, 2)$. The statement $T(1, 3, 3; 4; 0, 0, 2)$ is true. Indeed, $T(1, 3, 3; 4; 0, 0, 2)$ can be reduced to $4 * T(1, 1, 1; 1; 0, 2, 2)$, and $T(1, 1, 1; 1; 0, 2, 2)$ is true. Since $T(1, 3, 3; 4; 0, 0, 2)$ is true, $T(1, 3, 2; 2; 0, 0, 4)$ is false. Now we can conclude that $T(1, 1, 2; 2; 0, 0, 1)$ is false, because $T(1, 3, 2; 2; 0, 0, 4)$ can be reduced to $2 * T(1, 1, 2; 2; 0, 0, 1)$. \square

Proposition 3.18. *The following is a complete list of the defective $(\mathbf{n}, s, \mathbf{a})$ with $\mathbf{n} = (n_1, n_2, n_3)$ and $1 \leq n_1, n_2, n_3 \leq 2$. The list is given as $(s; a_1, a_2, a_3)$.*

- (i) $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ Up to permutation of the three factors the list is
Minimal: $(0; 0, 1, 3), (1; 0, 0, 2)$.
- (ii) $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^2$ Up to permutation of the first two factors the list is
Minimal: $(0; 0, 1, 3), (0; 0, 4, 1), (0; 1, 5, 0), (1; 0, 3, 0), (1; 0, 0, 2)$,
Non-minimal: $(0; 0, 2, 3), (0; 0, 5, 1), (0; 1, 1, 3), (1; 0, 1, 2), (1; 0, 4, 0), (2; 0, 0, 1)$.
- (iii) $\mathbb{P}^1 \times \mathbb{P}^2 \times \mathbb{P}^2$ Up to permutation of the last two factors the list is
Minimal: $(0; 0, 1, 4), (0; 7, 0, 1), (0; 1, 0, 5), (1; 0, 0, 3), (1; 5, 0, 0), (2; 0, 0, 2)$,
Non-minimal: $(0; 0, 1, 5), (0; 0, 2, 4), (0; 0, 2, 5), (0; 1, 1, 5), (0; 2, 0, 5), (0; 8, 0, 1), (0; 1, 1, 4), (1; 0, 0, 4), (1; 0, 1, 3), (1; 1, 0, 3), (1; 0, 1, 4), (1; 1, 0, 4), (1; 6, 0, 0), (1; 7, 0, 0), (2; 0, 0, 3)$.
- (iv) $\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2$ Up to permutation of the three factors the list is
Minimal: $(0; 0, 1, 7), (1; 0, 0, 5), (2; 0, 0, 4), (3; 0, 1, 1), (4; 0, 0, 0)$,
Non-minimal: $(0; 0, 2, 8), (0; 1, 1, 7), (0; 1, 1, 8), (0; 0, 2, 7), (0; 0, 1, 8), (1; 0, 0, 6), (1; 0, 0, 7), (1; 0, 1, 5), (1; 0, 1, 6), (1; 0, 1, 7), (2; 0, 0, 5), (2; 0, 0, 6)$.

Proof. The defectivity of the minimal cases follows from the previous four lemmas. The non-minimal cases follow from the minimal cases or again Lemmas 3.14 and

3.17. The non-defectivity of the $(\mathbf{n}, s, \mathbf{a})$ not appearing on the list can be shown by explicit computation. \square

We will now illustrate the inductive method of Theorem 3.4 and Theorem 3.5 in a series of examples. The strategy is to reduce a problem involving a more complicated variety to known cases on simpler varieties. By Remark 3.3, in order to establish the non-defectivity of all secant varieties to a given Segre variety, it is enough to check the truth of statement $T(\mathbf{n}, s, \mathbf{0})$ for the largest s for which $(\mathbf{n}, s, \mathbf{0})$ is subabundant and for the smallest s for which $(\mathbf{n}, s, \mathbf{0})$ is superabundant.

Example 3.19. In this example we show that $X = \mathbb{P}^3 \times \mathbb{P}^3 \times \mathbb{P}^3$ has no defective secant varieties (already known by Lickteig). This is reduced to showing that $\dim \sigma_6(X) = 59$ and that $\sigma_7(X)$ fills the ambient space.

In order to prove that $\dim \sigma_6(X) = 59$, we need to establish $T(3, 3, 3; 6; 0, 0, 0)$. We have

$$\begin{aligned} T(1, 3, 3; 3; 3, 0, 0) & \text{ and } T(1, 3, 3; 3; 3, 0, 0) \Rightarrow T(3, 3, 3; 6; 0, 0, 0), \\ T(1, 1, 3; 2; 1, 1, 0) & \text{ and } T(1, 1, 3; 1; 2, 2, 0) \Rightarrow T(1, 3, 3; 3; 3, 0, 0), \\ T(1, 1, 1; 1; 1, 0, 1) & \text{ and } T(1, 1, 1; 1; 0, 1, 1) \Rightarrow T(1, 1, 3; 2; 1, 1, 0), \\ T(1, 1, 1; 1; 1, 1, 0) & \text{ and } T(1, 1, 1; 0; 1, 1, 1) \Rightarrow T(1, 1, 3; 1; 2, 2, 0). \end{aligned}$$

But, $T(1, 1, 1; 1; 1, 0, 1)$, $T(1, 1, 1; 1; 0, 1, 1)$, $T(1, 1, 1; 1; 1, 1, 0)$ and $T(1, 1, 1; 0; 1, 1, 1)$ are all true; thus $T(3, 3, 3; 6; 0, 0, 0)$ is true and $\dim \sigma_6(X) = 59$.

In order to prove that $\sigma_7(X)$ fills the ambient space we need $T(3, 3, 3; 7; 0, 0, 0)$ to be true. We have

$$\begin{aligned} T(1, 3, 3; 4; 3, 0, 0) & \text{ and } T(1, 3, 3; 3; 4, 0, 0) \Rightarrow T(3, 3, 3; 7; 0, 0, 0), \\ T(1, 1, 3; 2; 1, 2, 0) & \text{ and } T(1, 1, 3; 2; 2, 2, 0) \Rightarrow T(1, 3, 3; 4; 3, 0, 0), \\ T(1, 1, 3; 2; 1, 1, 0) & \text{ and } T(1, 1, 3; 1; 3, 2, 0) \Rightarrow T(1, 3, 3; 3; 4, 0, 0), \\ T(1, 1, 1; 1; 1, 1, 1) & \text{ and } T(1, 1, 1; 1; 0, 1, 1) \Rightarrow T(1, 1, 3; 2; 1, 2, 0), \\ T(1, 1, 1; 1; 1, 1, 1) & \text{ and } T(1, 1, 1; 1; 1, 1, 1) \Rightarrow T(1, 1, 3; 2; 2, 2, 0), \\ T(1, 1, 1; 1; 1, 0, 1) & \text{ and } T(1, 1, 1; 1; 0, 1, 1) \Rightarrow T(1, 1, 3; 2; 1, 1, 0), \\ T(1, 1, 1; 1; 1, 1, 0) & \text{ and } T(1, 1, 1; 0; 2, 1, 1) \Rightarrow T(1, 1, 3; 1; 3, 2, 0). \end{aligned}$$

The proof follows from the last 4 implications; thus $T(3, 3, 3; 7; 0, 0, 0)$ is true and $\sigma_7(X)$ fills the ambient space.

Example 3.20. In this example we show that $X = \mathbb{P}^5 \times \mathbb{P}^5 \times \mathbb{P}^5$ has no defective secant varieties (already known by Lickteig). This is reduced to showing that $\dim \sigma_{13}(X) = 207$ and that $\sigma_{14}(X)$ fills the ambient space. The example is shown in some detail to emphasize that the strategy of reduction can be tricky.

To prove that $\dim \sigma_{13}(X) = 207$, we need to establish that $T(5, 5, 5; 13; 0, 0, 0)$ is true. If we use Theorem 3.4 to reduce to $T(2, 5, 5; 7; 6, 0, 0)$, $T(2, 5, 5; 6; 7, 0, 0)$, then we find that the 7-tuple $(2, 5, 5; 7; 6, 0, 0)$ is not subabundant!

We modify our strategy and reduce to

$$T(1, 5, 5; 4; 9, 0, 0) \text{ and } T(3, 5, 5; 9; 4, 0, 0).$$

Then $T(1, 5, 5; 4; 9, 0, 0)$ can reduce to

$$T(1, 2, 5; 2; 5, 2, 0) \text{ and } T(1, 2, 5; 2; 4, 2, 0).$$

Since $T(1, 2, 5; 2; 5, 2, 0) \Rightarrow T(1, 2, 5; 2; 4, 2, 0)$ (see Remark 3.3 (ii)), it is enough to consider $T(1, 2, 5; 2; 5, 2, 0)$. This reduces to

$$T(1, 2, 2; 1; 3, 1, 1) \quad \text{and} \quad T(1, 2, 2; 1; 2, 1, 1).$$

Both of these statements are true.

Now we reduce $T(3, 5, 5; 9; 4, 0, 0)$ to

$$T(3, 3, 5; 6; 3, 3, 0) \quad \text{and} \quad T(3, 1, 5; 3; 1, 6, 0),$$

which reduce respectively to

$$\begin{aligned} (1) \quad & T(3, 3, 2; 3; 1, 2, 3) \quad \text{and} \quad T(3, 3, 2; 3; 2, 1, 3), \\ (2) \quad & T(3, 1, 2; 2; 0, 3, 1) \quad \text{and} \quad T(3, 1, 2; 1; 1, 3, 2). \end{aligned}$$

(1) consists of two equivalent cases. We reduce $T(3, 3, 2; 3; 1, 2, 3)$ to

$$T(1, 3, 2; 1; 3, 2, 1) \quad \text{and} \quad T(1, 3, 2; 2; 2, 0, 2)$$

and finally to

$$\begin{aligned} & T(1, 1, 2; 0; 3, 3, 0) \quad \text{and} \quad T(1, 1, 2; 1; 0, 2, 1), \\ & T(1, 1, 2; 1; 1, 1, 1) \quad \text{and} \quad T(1, 1, 2; 1; 1, 1, 1). \end{aligned}$$

These last four statements are true.

(2) reduces to

$$T(1, 1, 2; 1; 1, 1, 1), \quad T(1, 1, 2; 1; 1, 2, 0),$$

and

$$T(1, 1, 2; 1; 1, 1, 1), \quad T(1, 1, 2; 0; 2, 2, 1),$$

respectively. These last four statements are true. Thus we have proved that $\dim \sigma_{13}(X) = 207$.

In order to prove that $\sigma_{14}(X)$ fills the ambient space, we reduce by Theorem 3.5 to

$$T(2, 5, 5; 7; 7, 0, 0) \quad \text{and} \quad T(2, 5, 5; 7; 7, 0, 0).$$

Then $T(2, 5, 5; 7; 7, 0, 0)$ reduces to

$$T(2, 2, 5; 4; 2, 3, 0) \quad \text{and} \quad T(2, 2, 5; 3; 5, 4, 0),$$

which reduces to

$$T(2, 2, 2; 3; 0, 1, 1), \quad T(2, 2, 2; 1; 2, 2, 3),$$

and

$$T(2, 2, 2; 2; 2, 2, 1), \quad T(2, 2, 2; 1; 3, 2, 2),$$

respectively.

Unfortunately the statement $T(2, 2, 2; 3; 0, 1, 1)$ is not true, so we have not proven anything. We change our strategy and from $T(2, 5, 5; 7; 7, 0, 0)$ we reduce to

$$T(2, 1, 5; 3; 1, 4, 0) \quad \text{and} \quad T(2, 3, 5; 4; 6, 3, 0).$$

Then $T(2, 1, 5; 3; 1, 4, 0)$ reduces to

$$T(2, 1, 2; 2; 0, 2, 1) \quad \text{and} \quad T(2, 1, 2; 1; 1, 2, 2),$$

while $T(2, 3, 5; 4; 6, 3, 0)$ reduces to

$$T(2, 1, 5; 2; 3, 5, 0) \quad \text{and} \quad T(2, 1, 5; 2; 3, 5, 0),$$

and finally to

$$T(2, 1, 2; 1; 2, 2, 1) \quad \text{and} \quad T(2, 1, 2; 1; 1, 3, 1).$$

Now all the final reduced statements are true and we have proved that $\sigma_{14}(X)$ fills the ambient space.

Let us now show an example which seems to be new.

Example 3.21. Consider $X = \mathbb{P}^4 \times \mathbb{P}^4 \times \mathbb{P}^7 \subset \mathbb{P}^{199}$. We have $\lfloor 200/16 \rfloor = 12$, $\lceil 200/16 \rceil = 13$. In order to show that $\sigma_{12}(X)$ has the expected dimension 191, we reduce $T(4, 4, 7; 12; 0, 0, 0)$ by Theorem 3.4 to

$$T(2, 4, 7; 7; 5, 0, 0) \quad \text{and} \quad T(1, 4, 7; 5; 7, 0, 0).$$

The first one reduces to

$$T(2, 2, 7; 4; 3, 3, 0) \quad \text{and} \quad T(2, 1, 7; 3; 2, 4, 0),$$

and the second one reduces to

$$T(1, 2, 7; 3; 4, 2, 0) \quad \text{and} \quad T(1, 1, 7; 2; 3, 3, 0).$$

These last four statements reduce respectively to

- (1) $T(2, 2, 1; 1; 1, 0, 3)$ $T(2, 2, 1; 1; 0, 1, 3)$ $T(2, 2, 1; 1; 1, 1, 3)$ $T(2, 2, 1; 1; 1, 1, 3)$,
- (2) $T(2, 1, 1; 1; 0, 1, 2)$ $T(2, 1, 1; 1; 1, 0, 2)$ $T(2, 1, 1; 1; 1, 0, 2)$ $T(2, 1, 1; 0; 0, 3, 3)$,
- (3) $T(1, 2, 1; 1; 1, 0, 2)$ $T(1, 2, 1; 1; 0, 1, 2)$ $T(1, 2, 1; 1; 0, 1, 2)$ $T(1, 2, 1; 0; 3, 0, 3)$,
- (4) $T(1, 1, 1; 1; 1, 0, 1)$ $T(1, 1, 1; 1; 0, 1, 1)$ $T(1, 1, 1; 0; 1, 1, 2)$ $T(1, 1, 1; 0; 1, 1, 2)$.

These statements are all true and we conclude that $\dim \sigma_{12}(X) = 191$.

To show that $\sigma_{13}(X)$ fills \mathbb{P}^{199} , we reduce $T(4, 4, 7; 13; 0, 0, 0)$ by Theorem 3.5 to

$$T(2, 4, 7; 8; 5, 0, 0) \quad \text{and} \quad T(1, 4, 7; 5; 8, 0, 0).$$

The first one reduces to

$$T(2, 2, 7; 5; 3, 3, 0) \quad \text{and} \quad T(2, 1, 7; 3; 2, 5, 0),$$

and the second one reduces to

$$T(1, 2, 7; 3; 5, 2, 0) \quad \text{and} \quad T(1, 1, 7; 2; 3, 3, 0).$$

These last four statements reduce respectively to

- (1) $T(2, 2, 1; 2; 0, 0, 3)$ $T(2, 2, 1; 1; 1, 1, 4)$ $T(2, 2, 1; 1; 1, 1, 4)$ $T(2, 2, 1; 1; 1, 1, 4)$,
- (2) $T(2, 1, 1; 1; 0, 2, 2)$ $T(2, 1, 1; 1; 1, 0, 2)$ $T(2, 1, 1; 1; 1, 0, 2)$ $T(2, 1, 1; 0; 0, 3, 3)$,
- (3) $T(1, 2, 1; 1; 2, 0, 2)$ $T(1, 2, 1; 1; 0, 1, 2)$ $T(1, 2, 1; 1; 0, 1, 2)$ $T(1, 2, 1; 0; 3, 0, 3)$,
- (4) $T(1, 1, 1; 1; 1, 0, 1)$ $T(1, 1, 1; 1; 0, 1, 1)$ $T(1, 1, 1; 0; 1, 1, 2)$ $T(1, 1, 1; 0; 1, 1, 2)$.

These last statements are all true, and we conclude that $\sigma_{13}(X)$ fills the ambient space.

4. CLASSIFICATION OF SEGRE VARIETIES WITH DEFECTIVE r -SECANT VARIETIES, $r \leq 6$

In this section, $X = \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k}$ with $k \geq 3$ and $n_1 \leq \dots \leq n_k$. We classify Segre varieties, X , for which $\sigma_r(X)$ is defective with $r \leq 6$. We recall that no Segre variety with 3 or more factors has a defective 2-secant variety.

Following [BCS], the typical tensor rank of a format (n_1, \dots, n_k) is the smallest integer s such that $\sigma_s(\mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k})$ fills the ambient space, and it is denoted by $\underline{R}(n_1, \dots, n_k)$. Equivalently, the generic tensor in $V_1 \otimes \dots \otimes V_k$, where $\dim V_i = n_i + 1$, is the sum of $\underline{R}(n_1, \dots, n_k)$ (and not fewer) tensors of rank one. We use the

projective notation, so that our $\underline{R}(n_1, \dots, n_k)$ corresponds to $\underline{R}(n_1 + 1, \dots, n_k + 1)$ of [BCS]. Obviously we have

$$\left\lceil \frac{\prod (n_i + 1)}{1 + \sum n_i} \right\rceil \leq \underline{R}(n_1, \dots, n_k),$$

and in particular

$$\left\lceil \frac{(n + 1)^k}{nk + 1} \right\rceil \leq \underline{R}(n^k).$$

The following lemma is well known (see [CGG1, Proposition 3.3]).

Lemma 4.1. *Let $X = \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k}$, $1 \leq n_1 \leq \dots \leq n_k$. Suppose that*

$$\prod_{i=1}^{k-1} (n_i + 1) - \sum_{i=1}^{k-1} n_i < d < \min \left\{ \prod_{i=1}^{k-1} (n_i + 1), n_k + 1 \right\}.$$

Then X has a defective d -secant variety.

Proof. Pick d general points on X where d satisfies the conditions of the lemma. Since $d < n_k + 1$, there exists a subvariety $V = \mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_{k-1}} \times \mathbb{P}^{d-1} \subseteq X$, which contains these d points. Let $N(d) = d \prod_{i=1}^{k-1} (n_i + 1) - 1$ and $N = \prod_{i=1}^k (n_i + 1) - 1$. The span of V is $\mathbb{P}^{N(d)} \subseteq \mathbb{P}^N$. Thus, the linear subspace spanned by the tangent spaces of X at the d points has dimension at most $F(d) - 1$, where $F(d) = d \left[\prod_{i=1}^{k-1} (n_i + 1) + (n_k + 1 - d) \right]$. Then, by the assumption as given above, we have

$$\begin{aligned} d \left(\sum_{i=1}^k n_i + 1 \right) - F(d) &= d \left(\sum_{i=1}^k n_i + 1 \right) - d \left[\prod_{i=1}^{k-1} (n_i + 1) + (n_k + 1 - d) \right] \\ &= d \left[\sum_{i=1}^{k-1} n_i - \prod_{i=1}^{k-1} (n_i + 1) + d \right] > 0 \end{aligned}$$

and

$$\begin{aligned} \prod_{i=1}^k (n_i + 1) - F(d) &= d^2 - d \left[\prod_{i=1}^{k-1} (n_i + 1) + (n_k + 1) \right] + \prod_{i=1}^k (n_i + 1) \\ &= \left[d - \prod_{i=1}^{k-1} (n_i + 1) \right] [d - (n_k + 1)] > 0. \end{aligned}$$

So $F(d) < \min \left\{ d \left(\sum_{i=1}^k n_i + 1 \right), \prod_{i=1}^k (n_i + 1) \right\}$. An application of Terracini's lemma shows that X has a defective d -secant variety. \square

Definition 4.2. Suppose $\mathbf{n} = (n_1, \dots, n_k)$ with $n_1 \leq \dots \leq n_k$.

- \mathbf{n} is called *balanced* if $n_k \leq \prod_{i=1}^{k-1} (n_i + 1) - \sum_{i=1}^{k-1} n_i$.
- \mathbf{n} is called *unbalanced* if $n_k - 1 \geq \prod_{i=1}^{k-1} (n_i + 1) - \sum_{i=1}^{k-1} n_i$.

Thus Lemma 4.1 states that if $\mathbf{n} = (n_1, \dots, n_k)$ is unbalanced, then $\mathbb{P}^{\mathbf{n}}$ is defective. The following proposition is often useful.

Proposition 4.3. *Let $\mathbf{n} = (n_1, \dots, n_k)$ be balanced. If $s \leq n_k$, then $T(\mathbf{n}, s, 0^k)$ is true and subabundant.*

Proof. It is sufficient to check the statement for $s = n_k$. By assumption we have

$$\sum_{i=1}^k n_i \leq \prod_{i=1}^{k-1} (n_i + 1).$$

After multiplying by $(n_k + 1)$ we obtain

$$(1 + \sum_{i=1}^k n_i)n_k \leq \sum_{i=1}^{k-1} n_i + (1 + \sum_{i=1}^k n_i)n_k \leq \prod_{i=1}^k (n_i + 1).$$

This implies that $(\mathbf{n}, n_k, 0^k)$ is subabundant. By Theorem 3.4, $(\mathbf{n}, n_k, 0^k)$ reduces to $T(n_1, \dots, n_{k-1}, 0; 0, 0^{k-1}, n_k)$ and $n_k * T(n_1, \dots, n_{k-1}, 0; 1, 0^{k-1}, n_k - 1)$. Since both of these statements are true, we are done. \square

The following theorem sets completely the defective behaviour of higher secant varieties in the unbalanced cases and completes Prop 3.3 in [CGG1]. This has also been observed as part of Theorem 2.4 in [CGG4].

Theorem 4.4. *Let $\mathbf{n} = (n_1, \dots, n_k)$ be unbalanced.*

- (i) $T(\mathbf{n}, s, 0^k)$ is true and subabundant if and only if $s \leq \prod_{i=1}^{k-1} (n_i + 1) - \sum_{i=1}^{k-1} n_i$.
- (ii) $\underline{R}(\mathbf{n}) = \min\{n_k + 1, \prod_{i=1}^{k-1} (n_i + 1)\}$.

Proof. The “only if” part of (i) is Lemma 4.1. In order to prove the “if” part, set $n'_k = \prod_{i=1}^{k-1} (n_i + 1) - \sum_{i=1}^{k-1} n_i$. It is enough to check that $T(\mathbf{n}; n'_k; 0^k)$ is true and subabundant. By assumption we have $n'_k \leq n_k - 1$; moreover $(n_1, \dots, n_{k-1}, n'_k)$ is balanced. By Proposition 4.3, $T(n_1, \dots, n_{k-1}, n'_k; n'_k; 0^k)$ is true and subabundant. The thesis follows by Proposition 3.11.

Statement (ii) follows from Theorem 3.1 in [CGG1]. \square

Theorem 4.5. $\sigma_3(\mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k})$ is non-defective with the following exceptions: $(n_1, n_2, n_3) = (1, 1, a)$ with $a \geq 3$ and $(n_1, n_2, n_3, n_4) = (1, 1, 1, 1)$.

Proof. First we prove the theorem for $k = 3$: Since $T(1, 2, 2; 3; 0, 0, 0)$ is true and subabundant, from Proposition 3.11, we know that $\sigma_3(X)$ has the expected dimension if $n_1 \geq 1, n_2 \geq 2, n_3 \geq 2$. Hence, we may assume $n_1 = n_2 = 1$. $T(1, 1, a; 3; 0, 0, 0)$ is true for $a = 1, 2$. $T(1, 1, a; 3; 0, 0, 0)$ is false for $a \geq 3$ by Lemma 4.1.

To prove the theorem for $k \geq 4$, it is enough to exhibit three points such that their tangent spaces are independent. It is known that $\dim \sigma_3(\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1)$ is smaller than expected, so with four factors assume that $n_4 \geq 2$. Then choose $(e_0, e_0, e_0, e_0), (e_1, e_1, e_1, e_1), (e_0 + e_1, e_0, e_1, e_2)$. With at least five factors choose $(e_0, e_0, e_0, e_0, e_0, *), (e_1, e_1, e_1, e_0, e_0, *), (e_0, e_0, e_1, e_1, e_1, *)$. \square

Theorem 4.6. $\sigma_4(\mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k})$ is non-defective with the following exceptions: $(n_1, n_2, n_3) = (1, 2, a)$ with $a \geq 4$ and $(n_1, n_2, n_3) = (2, 2, 2)$.

Proof. It is known that $T(1, 1, 1, 1, 1; 4; 0, 0, 0, 0, 0)$ is true. Thus there are no exceptions with $k \geq 5$. To treat the case $k = 4$ we consider that the equiabundant case $(1, 1, 1, 2; 4; 0, 0, 0, 0)$ is true. By Theorem 3.4, $T(1, 1, 1, 2; 4; 0, 0, 0, 0)$ reduces to twice $T(0, 1, 1, 2; 2; 2, 0, 0, 0)$. Since this is known to be true, there are no exceptions with $k = 4$.

To treat the case $k = 3$, we start with the known fact that $\dim \sigma_4(\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2)$ is smaller than expected. So let us begin by proving that $T(2, 2, 3; 4; 0, 0, 0)$ is true (and subabundant). Indeed we reduce by Theorem 3.4 to $T(2, 2, 1; 2; 0, 0, 2)$, which is true. Hence if $n_1 \geq 2$ the theorem holds and we may assume $n_1 = 1$.

Let us now prove that $T(1, 3, 3; 4; 0, 0, 0)$ is true (and subabundant). We reduce by Theorem 3.4 to $2 * T(1, 1, 3; 2; 0, 2, 0)$ and then reduce to $4 * T(1, 1, 1; 1; 0, 1, 1)$. This is known to be true; hence if $n_2 \geq 3$, the theorem holds and we may assume $n_2 = 2$.

$T(1, 2, a; 4; 0, 0, 0)$ with $a \geq 4$ is false by Lemma 4.1. To finish the proof, we use Theorem 3.5 on $T(1, 2, 3; 4; 0, 0, 0)$ to show that $\sigma_4(X)$ fills the ambient space. \square

Proposition 4.7. *If $X = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^n \times \mathbb{P}^n$, then*

- (i) *X has a defective $(2n + 1)$ -secant variety.*
- (ii) *The codimension of $\sigma_{2n+1}(X)$ is 2.*
- (iii) *$T(1, 1, n, n; 2n; 0^4)$ and $T(1, 1, n, n; 2n + 2; 0^4)$ are true.*

Proof. The proof of (i) follows an argument shown to us by Enrico Carlini (see also [CGG4]). The proofs of (ii) and (iii) use the inductive method.

(i) Write X as $(\mathbb{P}^1 \times \mathbb{P}^n) \times (\mathbb{P}^1 \times \mathbb{P}^n)$. Project the $2n + 1$ points into each factor $(\mathbb{P}^1 \times \mathbb{P}^n) \subset \mathbb{P}^{2n+1}$. Consider the hyperplanes H_1, H_2 in each \mathbb{P}^{2n+1} which pass through these projected points. Then the hyperplane defined by $H_1 \otimes H_2$ contains the tangent space to X at each of the $2n + 1$ points. We can repeat this argument by switching the copies of \mathbb{P}^n to obtain a second pair of hyperplanes H'_1, H'_2 . Then the hyperplane defined by $H'_1 \otimes H'_2$ also contains the tangent spaces to X at each of the $2n + 1$ points. Thus by Terracini's Lemma, the codimension of $\sigma_{2n+1}(X)$ is at least 2.

(ii) It is enough to show that $T(0, 1, 1, n + 1, n + 1; 2n + 3; 2, 0^4)$ is true. This is a superabundant case that reduces by Theorem 3.5 to

$$T(0, 1, 1, n + 1, n; 2n + 1; 2, 0^3, 2) \quad \text{and} \quad T(0, 1, 1, n + 1, 0; 2; 0, 0^3, 2n + 1).$$

The second of these statements is true since no Segre variety has a defective 2-secant variety. Note that $(0, 1, 1, n + 1, n; 2n + 1; 2, 0^3, 2)$ is equiabundant, so we use Corollary 3.8 to reduce $T(0, 1, 1, n + 1, n; 2n + 1; 2, 0^3, 2)$ to $T(1, 1, n + 1, n; 2n + 1; 0^3, 2)$. Then use Theorem 3.4 to reduce to

$$T(1, 1, n, n; 2n; 0, 0, 1, 1) \quad \text{and} \quad T(1, 1, 0, n; 1; 0, 0, 2n, 1).$$

The second statement is true. Theorem 3.4 reduces $T(1, 1, n, n; 2n; 0, 0, 1, 1)$ to

$$T(0, 1, n, n; n; n, 0, 1, 0) \quad \text{and} \quad T(0, 1, n, n; n; n, 0, 0, 1).$$

These two statements are equivalent. Corollary 3.8 reduces $T(0, 1, n, n; n; n, 0, 1, 0)$ to $T(1, n, n; n; 0, 1, 0)$. Then we use Theorem 3.4 to reduce to

$$n * T(1, n, 0; 1; 0, 0, n - 1) \quad \text{and} \quad T(1, n, 0; 0; 0, 1, n).$$

Both these statements are true, so we are done.

(iii) Since $T(1, 1, n, n; 2n; 0^4)$ is subabundant, we use Theorem 3.4 to reduce to $2 * T(0, 1, n, n; n; n, 0, 0, 0)$. Corollary 3.8 reduces $T(0, 1, n, n; n; n, 0, 0, 0)$ to $T(1, n, n; n; 0, 0, 0)$. Finally, we use Theorem 3.4 to reduce $T(1, n, n; n; 0, 0, 0)$ to $n * T(1, n, 0; 1; 0, 0, n - 1)$ and $T(1, n, 0; 0; 0, 0, n)$. Both these statements are true.

Since $T(1, 1, n, n; 2n + 2; 0^4)$ is superabundant, we use Theorem 3.5 to reduce to $(n + 1) * T(1, 1, n, 0; 2; 0, 0, 0, 2n)$. This statement is true since no Segre variety has a defective 2-secant variety. \square

Remark 4.8. Proposition 4.7 gives a complete description of the dimensions of the secant varieties to $X = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^n \times \mathbb{P}^n$. In particular, X has no defective p -secant varieties for $p \leq 2n$ and $\sigma_{2n+2}(X)$ fills the ambient space, that is, $\underline{R}(1, 1, n, n) = 2n + 2$.

It is interesting to compare the following proposition with Proposition 4.7.

Proposition 4.9. *For any positive integer n , $T(1, 1, n, n+1; 2(n+1), 0^4)$ is perfect.*

Proof. The statement reduces to $T(1, 1, 0, n + 1; 2, 0^2, 2n, 0)$, which is true because $T(1, 1, n + 1; 2, 0^3)$ is true and subabundant, and the $2n$ additional conditions are independent. \square

Proposition 4.10. $\dim \sigma_5(\mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3) = 43$.

Proof. We first show that $\mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3$ has a defective 5-secant variety. In other words, we show that $\dim \sigma_5(\mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3) < 44$. Given five general points in $X = \mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3$ we want to construct a rational normal curve of degree 8, $C_8 \subset X$, passing through the five points. We project the five points from X onto each factor. We get on \mathbb{P}^2 a conic C_2 through five points Q_1, \dots, Q_5 , and an isomorphism $g: \mathbb{P}^1 \rightarrow C_2$ such that $g(0) = Q_1, g(1) = Q_2, g(\infty) = Q_3, g(x_1) = Q_4, g(x_2) = Q_5$ for some points $x_1, x_2 \in \mathbb{P}^1$. In \mathbb{P}^3 there is a two dimensional family of twisted cubics $C_{s,t}$ through the five projected points $P_1, \dots, P_5 \in \mathbb{P}^3$. This means we have a family of maps $f_{s,t}: \mathbb{P}^1 \rightarrow C_{s,t}$ such that $f_{s,t}(0) = P_1, f_{s,t}(1) = P_2, f_{s,t}(\infty) = P_3$. It is easy to see that the preimage, $f_{s,t}^{-1}(P_4)$, is not constant when s, t change. This fact can be verified by projecting from P_5 on a plane, where we get a pencil of conics through four points, and it is straightforward to check that the cross ratio of the four points is not constant in the pencil. Then we can choose s, t such that $f_{s,t}(x_1) = P_4, f_{s,t}(x_2) = P_5$. Repeating the same argument for the second copy of \mathbb{P}^3 we get a morphism $f: \mathbb{P}^1 \rightarrow \mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3$ through the five original points of degree $2 + 3 + 3 = 8$. This is the desired C_8 which spans a space \mathbb{P}^8 . Hence each of the five tangent spaces at the five original points meets this \mathbb{P}^8 in a line and the span of the five tangent spaces has dimension $\leq 8 + 5 \cdot 7 = 43$. By Terracini's lemma this concludes the proof. \square

Remark 4.11. $T(2, 3, 3; s; 0^3)$ is true if $s \leq 4$; moreover $\underline{R}(2, 3, 3) = 6$.

Now we show that $\dim \sigma_5(\mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3) = 43$. It is enough to show that $T(0, 2, 3, 3; 5; 4, 0, 0, 0)$ is true. We use Theorem 3.5 to reduce $T(0, 2, 3, 3; 5; 4, 0, 0, 0)$ to $T(0, 2, 3, 0; 1; 2, 0, 0, 4)$ and $T(0, 2, 3, 2; 4; 2, 0, 0, 1)$. The first of these statements is true. We use Theorem 3.4 to reduce $T(0, 2, 3, 2; 4; 2, 0, 0, 1)$ to $T(0, 2, 1, 2; 2; 2, 0, 2, 0)$ and $T(0, 2, 1, 2; 2; 0, 0, 2, 1)$. Both of these statements are true from Proposition 3.18.

Theorem 4.12. $\sigma_5(\mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k})$ is non-defective with the following exceptions:

- $(n_1, n_2, n_3) = (2, 3, 3),$
- $(n_1, n_2, n_3) = (1, 2, a)$ with $a \geq 5,$
- $(n_1, n_2, n_3) = (1, 3, a)$ with $a \geq 5,$
- $(n_1, n_2, n_3, n_4) = (1, 1, 2, 2).$

Proof. By [CGG2], $T(1, 1, 1, 1, 1; 5; 0, 0, 0, 0, 0)$ is known to be true. Thus there are no exceptions for $k \geq 5$. To treat the case $k = 4$ we prove $T(1, 1, 1, 4; 5; 0, 0, 0, 0)$ is true. By Theorem 3.4 we reduce $T(1, 1, 1, 4; 5; 0, 0, 0, 0)$ to $2 * T(1, 1, 1, 1; 2; 0, 0, 0, 3)$ and $T(1, 1, 1, 0; 1; 0, 0, 0, 4)$. All these statements are known to be true. In the same

manner we prove that $T(1, 1, 2, 3; 5; 0, 0, 0, 0)$ is true. By Theorem 3.5, we show that $T(1, 1, 1, 2; 5; 0, 0, 0, 0)$ is true. By Proposition 4.7, $T(1, 1, 2, 2; 5; 0, 0, 0, 0)$ is false.

Now we treat the case $k = 3$. Let us begin by proving that $T(2, 2, 4; 5; 0, 0, 0)$ is true. Indeed we reduce by Theorem 3.4 to $T(2, 2, 1; 2; 0, 0, 3)$ and $T(2, 2, 2; 3; 0, 0, 2)$, which are both true. Similarly by Theorem 3.5, $T(2, 2, 3; 5; 0, 0, 0)$ is true. By Proposition 4.10, $T(2, 3, 3; 5; 0, 0, 0)$ is false. Hence if $n_1 \geq 2$ the theorem is true and we may assume $n_1 = 1$.

Let us now prove that $T(1, 4, 4; 5; 0, 0, 0)$ is true. Note that the 7-tuple is equiabundant. We use Theorem 3.4 and reduce to

$$T(1, 1, 4; 2; 0, 3, 0) \text{ and } T(1, 2, 4; 3; 0, 2, 0)$$

and again to

$$T(1, 1, 2; 1; 0, 2, 1), T(1, 1, 1; 1; 0, 1, 1)$$

and

$$T(1, 2, 2; 2; 0, 1, 1), T(1, 2, 1; 1; 0, 1, 2)$$

respectively. All these statements are known to be true. Hence if $n_2 \geq 4$ the theorem is true and we may assume $n_2 \leq 3$. The cases $(n_1, n_2, n_3) = (1, 2, a)$ with $a \geq 5$ and $(n_1, n_2, n_3) = (1, 3, a)$ with $a \geq 5$ are defective by Lemma 4.1. To finish the proof, we note that $(1, 3, 4; 5; 0, 0, 0)$ is equiabundant and that $T(1, 3, 4; 5; 0, 0, 0)$ is true. □

Theorem 4.13. $\sigma_6(\mathbb{P}^{n_1} \times \dots \times \mathbb{P}^{n_k})$ is non-defective with the following exceptions:

- $(n_1, n_2, n_3) = (1, 3, a)$ with $a \geq 6$,
- $(n_1, n_2, n_3) = (1, 4, a)$ with $a \geq 6$,
- $(n_1, n_2, n_3) = (2, 2, a)$ with $a \geq 6$,
- $(n_1, n_2, n_3, n_4) = (1, 1, 1, a)$ with $a \geq 6$.

Proof. The exceptions all follow from Lemma 4.1. To show there are no more exceptions, one needs to show that $T(\mathbf{n}; 6; \mathbf{0})$ is true for the following values of \mathbf{n} :

- Subabundant cases: $(1^6), (1^4, 2), (1, 1, 2, 3), (1, 2, 2, 2), (1, 5, 5), (3, 3, 3), (2, 3, 4)$,
- Superabundant cases: $(1^5), (1^3, 5), (1, 1, 2, 3), (1, 4, 5), (2, 3, 3), (2, 2, 5), (1, 2, a)$.

The subabundant cases can all be established using Theorem 3.4 and Corollary 3.8. The case $(1, 2, a)$ follows quickly from the case $(1, 4, 5)$ and Theorem 3.5. The other superabundant cases can all be established using Theorem 3.5. □

5. NON-DEFECTIVITY FOR MANY COPIES OF \mathbb{P}^n

In this section we study Segre varieties of the form $X = \mathbb{P}^n \times \dots \times \mathbb{P}^n$. We show that for most values of s , $\sigma_s(X)$ is non-defective. Before we prove the main theorem, we need a technical lemma.

Lemma 5.1. Let $\tilde{s}_k = \frac{(n+1)^k}{nk+1}$ and $s_k = \lfloor \tilde{s}_k \rfloor$. Let $\delta_k \equiv s_k \pmod{n+1}$ with $\delta_k \in \{0, \dots, n\}$. Let $q = \frac{s_k - \delta_k}{n+1}$ and $\tilde{q} = \frac{\tilde{s}_k - \delta_k}{n+1}$.

- (i) If $(k = 4 \text{ and } n \geq 12)$ or if $(k = 5 \text{ and } n \geq 4)$ or if $(k = 6, 7 \text{ or } 8 \text{ and } n \geq 2)$ or if $(k \geq 9 \text{ and } n \geq 1)$, then $q + 1 \leq s_{k-1} - \delta_{k-1}$.
- (ii) $(q + 1)(nk - n + 1) + (s_k - \delta_k) - q + n \geq (n + 1)^{k-1}$.

Proof. We write each proof as a sequence of implications.

(i) The first statement follows from the fact that $q + 1$ is an integer.

$$\begin{aligned}
 & q + 1 \leq s_{k-1} - \delta_{k-1} \\
 \iff & q + 1 \leq \tilde{s}_{k-1} - \delta_{k-1} \\
 \iff & \tilde{q} + 1 \leq \tilde{s}_{k-1} - \delta_{k-1} \\
 \iff & \tilde{s}_k - \delta_k + (n + 1) \leq (n + 1)(\tilde{s}_{k-1} - \delta_{k-1}) \\
 \iff & (n + 1)\delta_{k-1} - \delta_k + (n + 1) \leq (n + 1)\tilde{s}_{k-1} - \tilde{s}_k \\
 \iff & (n + 1)\delta_{k-1} - \delta_k + (n + 1) \leq (n + 1)^k \left(\frac{1}{nk+1-n} - \frac{1}{nk+1} \right) \\
 \iff & \frac{\delta_{k-1}}{n+1} - \frac{\delta_k}{(n+1)^2} + \frac{1}{n+1} \leq (n + 1)^{k-2} \left(\frac{1}{nk+1-n} - \frac{1}{nk+1} \right) \\
 \iff & \frac{\delta_{k-1}}{n+1} - \frac{\delta_k}{(n+1)^2} + \frac{1}{n+1} \leq (n + 1)^{k-4} \left(\frac{n(n+1)^2}{(nk+1-n)(nk+1)} \right).
 \end{aligned}$$

Since $\delta_{k-1} \leq n$, the last statement is implied by

$$1 \leq (n + 1)^{k-4} \left(\frac{n(n + 1)^2}{(nk + 1 - n)(nk + 1)} \right).$$

Now the conclusions of part (i) are easy.

(ii)

$$\begin{aligned}
 & (q + 1)(nk - n + 1) + (s_k - \delta_k) - q + n \geq (n + 1)^{k-1} \\
 \iff & (n + 1)[(q + 1)(nk - n + 1) + (s_k - \delta_k) - q + n] \geq (n + 1)^k \\
 \iff & (s_k - \delta_k)(nk + 1) + (n + 1)(nk + 1) \geq (n + 1)^k \\
 \iff & (nk + 1)(s_k - \delta_k + n + 1) \geq (n + 1)^k.
 \end{aligned}$$

Now this last statement is implied by

$$(nk + 1)(\tilde{s}_k - \delta_k + n) \geq (n + 1)^k,$$

which is equivalent to

$$(n - \delta_k)(nk + 1) \geq 0.$$

Since $\delta_k \leq n$, we are done. □

Theorem 5.2. *Let $X = (\mathbb{P}^n)^k$, $k \geq 3$. Let s_k and δ_k be defined by*

$$s_k = \left\lfloor \frac{(n + 1)^k}{nk + 1} \right\rfloor \quad \text{and} \quad \delta_k \equiv s_k \pmod{n + 1} \quad \text{with} \quad \delta_k \in \{0, \dots, n\}.$$

- (i) *If $s \leq s_k - \delta_k$, then $\sigma_s(X)$ has the expected dimension.*
- (ii) *If $s \geq s_k - \delta_k + n + 1$, then $\sigma_s(X)$ fills the ambient space.*

Proof. The proof is by induction on k .

(i) Note that $(n^k; s_k - \delta_k; 0^k)$ is subabundant. We start from the fact that $(\mathbb{P}^n)^3$ is non-defective when $n \neq 2$ [L] and the fact that $(\mathbb{P}^2)^4$ is non-defective. Suppose that $T(n^{k-1}; s_{k-1} - \delta_{k-1}; 0^{k-1})$ is true with $k \geq 4$. We need to show that $T(n^k; s_k - \delta_k; 0^k)$ is true. If $q = \frac{s_k - \delta_k}{n + 1}$, then we use Theorem 3.4 to reduce $T(n^k; s_k - \delta_k; 0^k)$ to $T(0, n^{k-1}; q; (s_k - \delta_k - q), 0^{k-1})$. Since $(0, n^{k-1}; q; (s_k - \delta_k - q), 0^{k-1})$ is subabundant, we can reduce $T(0, n^{k-1}; q; (s_k - \delta_k - q), 0^{k-1})$ to $T(n^{k-1}; q; 0^{k-1})$. By the induction hypothesis we have $T(n^{k-1}; s_{k-1} - \delta_{k-1}; 0^{k-1})$ is true. If we can show that $q \leq s_{k-1} - \delta_{k-1}$, then we are done. By Lemma 5.1, we have $q \leq s_{k-1} - \delta_{k-1}$ with a small number of possible exceptions. Using the exact inequality, the only

true exceptions are $(n, k) = (4, 4)$ or $(n, k) = (7, 4)$. With the aid of a computer, we can take care of these cases by showing that $T(4^4; 36; 0^4)$ and $T(7^4; 136; 0^4)$ are true.

(ii) Note that $(n^k; s_k - \delta_k + n + 1; 0^k)$ is superabundant. We again start from the fact that $(\mathbb{P}^n)^3$ is non-defective when $n \neq 2$ and the fact that $(\mathbb{P}^2)^4$ is non-defective. Suppose that $T(n^{k-1}; s_{k-1} - \delta_{k-1} + n + 1; 0^{k-1})$ is true with $k \geq 4$. We need to show that $T(n^k; s_k - \delta_k + n + 1; 0^k)$ is true. We use Theorem 3.5 to reduce $T(n^k; s_k - \delta_k + n + 1; 0^k)$ to $T(0, n^{k-1}; q + 1; s_k - \delta_k - q + n, 0^{k-1})$. From the proof of the first part of this theorem, we know that $T(n^{k-1}; q + 1; 0^{k-1})$ is true except for a small number of possible exceptions. Using the exact inequality, the only true exceptions are $(n, k) = (1, 5), (1, 6), (1, 7), (2, 4), (3, 4), (3, 5), (4, 4), (7, 4)$. With the aid of a computer, we can show that $(\mathbb{P}^n)^k$ is non-defective in all of these cases. Thus we know the dimension of the variety corresponding to $T(n^{k-1}; q + 1; 0^{k-1})$. To establish that $T(0, n^{k-1}; q + 1; s_k - \delta_k - q + n, 0^{k-1})$ is true, note that we have $s_k - \delta_k - q + n$ “point conditions”. Such conditions are always independent; they correspond to adding in $s_k - \delta_k - q + n$ general vectors before computing the span. We want to show that the partial secant variety corresponding to $T(0, n^{k-1}; q + 1; s_k - \delta_k - q + n, 0^{k-1})$ fills the space. In other words, we need to show that

$$(q + 1)(nk - n + 1) + (s_k - \delta_k) - q + n \geq (n + 1)^{k-1}.$$

But this statement follows from Lemma 5.1. \square

The following corollary applies in the cases considered in Prop 2.2 of [LM].

Corollary 5.3. $T((r - 1)^k, r, 0^k)$ is subabundant and true if $k \geq 3, \forall r \geq 1$.

Remark 5.4. The particular case when $n = 1$ in Theorem 5.2 appears as Theorem 2.3 in [CGG2]. It is worth emphasizing that Theorem 5.2 states that $X = (\mathbb{P}^n)^k$ has at most n values of s for which $T(n^k; s; 0^k)$ is not true. In many cases the inequalities of the previous theorem can be improved by looking at the arithmetic of the particular numbers involved. An example of this phenomenon can be seen in the following corollary and example. See also Proposition 5.9 and Proposition 5.10 which show that in some cases $X = (\mathbb{P}^n)^k$ has at most one value of s for which $T(n^k; s; 0^k)$ is not true.

Corollary 5.5. If $X = (\mathbb{P}^n)^k$ is numerically perfect and $\delta_k = 0$, then X is perfect.

Example 5.6. We can apply Corollary 5.5 if and only if $\frac{(n+1)^{k-1}}{nk+1}$ is an integer. For instance, if $(n + 1 = p^h$ for some prime number p) and $(k = \frac{p^{th}-1}{p^h-1}$ for some $t \geq 2)$, then X is perfect. This example appeared in [CGG1] utilizing some ideas from coding theory.

The following is an easy consequence of Theorem 5.2:

Corollary 5.7. $\underline{R}(n^k) \sim \frac{(n+1)^k}{nk+1}$, when $n \rightarrow \infty$ or $k \rightarrow \infty$.

Let’s take a closer look at the case $X = (\mathbb{P}^3)^k$. Lickteig showed that $(\mathbb{P}^3)^3$ is non-defective. Corollary 5.5 shows that $(\mathbb{P}^3)^5$ is non-defective. According to Theorem 5.2 we have that $T(3^4; 16; 0^4)$ and $T(3^4; 20; 0^4)$ are true; in particular $\underline{R}(3^4) = 20$. We want to show that $T(3^4; 18; 0^4)$ is true. This will show that the inductive technique often goes further than the statement of Theorem 5.2. In order to study $(\mathbb{P}^3)^4$ we will need the following lemma.

Lemma 5.8. $T(1^4; 2; 1, 1, 0, 0)$, $T(1^4; 1; 2, 1, 1, 1)$ and $T(1^4; 0; 2^4)$ are true.

Proof. Use Corollary 3.8 to reduce to $(\mathbb{P}^1)^3$. □

Proposition 5.9. $T(3^4; 18; 0^4)$ is true; that is, $\sigma_{18}(\mathbb{P}^3)^4$ has the expected dimension.

Proof. We use Theorem 3.4 to reduce to two copies of $\mathbb{P}^1 \times (\mathbb{P}^3)^3$, then to four copies of $(\mathbb{P}^1)^2 \times (\mathbb{P}^3)^2$, then to eight copies of $(\mathbb{P}^1)^3 \times (\mathbb{P}^3)^1$, then to sixteen copies of $(\mathbb{P}^1)^4$. In the end we need sixteen 5-tuples (s, a_1, a_2, a_3, a_4) such that $T(1^4; s; a_1, a_2, a_3, a_4)$ is true and such that the vector sum of the sixteen 5-tuples is $(18, 18, 18, 18, 18)$. Utilizing Lemma 5.8, a solution is accomplished by the following eight vectors repeated twice:

$$\begin{matrix} (2, 1, 1, 0, 0) & (2, 0, 0, 1, 1) & (1, 2, 1, 1, 1) & (1, 1, 2, 1, 1) \\ (1, 1, 1, 2, 1) & (1, 1, 1, 1, 2) & (1, 1, 1, 1, 1) & (0, 2, 2, 2, 2), \end{matrix}$$

which completes the proof. □

We want to show that the inductive technique goes further than the statement of Theorem 5.2 also in the superabundant case. Indeed we know that $\underline{R}(2^3) = 5$ (defective case) and $\underline{R}(2^4) = 9$ (Corollary 5.5). According to Theorem 5.2 we have that $T(2^5; 21; 0^5)$ is true and that $23 \leq \underline{R}(2^5) \leq 24$. We can show the following proposition:

Proposition 5.10. $\underline{R}(2^5) = 23$.

Proof. Since $T(2^5; 22; 0^5)$ is subabundant and true and since $(2^5; 23; 0^5)$ is superabundant, it is enough to show that $T(2^5; 23; 0^5)$ is true. We use Theorem 3.5 to reduce to $T(2^4, 1; 15; 0^4, 8)$ and $T(2^4, 0; 8; 0^4, 15)$. Since $(\mathbb{P}^2)^4$ is perfect, $T(2^4, 0; 8; 0^4, 15)$ is true. We use Theorem 3.5 to reduce $T(2^4, 1; 15; 0^4, 8)$ to $T(2^3, 0, 1; 5; 0^3, 10, 2)$ and $2 * T(2^3, 0, 1; 5; 0^3, 10, 3)$. Since $T(2^3, 0, 1; 5; 0^3, 10, 2)$ implies $T(2^3, 0, 1; 5; 0^3, 10, 3)$, it is enough to show that $T(2^3, 0, 1; 5; 0^3, 10, 2)$ is true. We use Corollary 3.9 to reduce $T(2^3, 0, 1; 5; 0^3, 10, 2)$ to $T(2^3, 1; 5; 0^3, 2)$. Now use Theorem 3.4 to reduce to $T(2^3, 0; 2; 0^3, 5)$ and $T(2^3, 0; 3; 0^3, 4)$. Both of these statements are true from the classification of Segre varieties with defective 3-secant varieties. □

We do not currently have a general theorem that shows that every tensor power of \mathbb{P}^n is non-defective. However, if n is odd, we can prove that for each tensor power of \mathbb{P}^n , there exists a Segre product of a projective space with the tensor power which is not only non-defective but perfect.

Theorem 5.11. If n is odd, then the Segre variety $\mathbb{P}^k \times (\mathbb{P}^n)^{k+1}$ is perfect.

Proof. First note that $T(k, n, \dots, n; (n + 1)^k; 0, \dots, 0)$ is equiabundant. Since n is odd,

$$T(k, n, \dots, n; (n + 1)^k; 0, \dots, 0)$$

reduces to (multiple copies of)

$$T(k, 1, n, \dots, n; 2(n + 1)^{k-1}; 0, (n + 1)^{k-1}(n - 1), 0, \dots, 0)$$

and then to

$$T(k, 1, 1, n, \dots, n; 4(n + 1)^{k-2}; 0, 2(n + 1)^{k-2}(n - 1), 2(n + 1)^{k-2}(n - 1), 0, \dots, 0).$$

We continue in this manner until we reduce to

$$T(k, 1, \dots, 1, n; 2^k; 0, 2^{k-1}(n-1), \dots, 2^{k-1}(n-1), 0).$$

Now we reduce to $\frac{n-1}{2}$ copies of

$$T(k, 1, \dots, 1; 0; 0, 2^k, \dots, 2^k)$$

and one copy of

$$T(k, 1, \dots, 1; 2^k; 0, \dots, 0).$$

Iterating Corollary 3.8 we reduce $T(k, 1, \dots, 1; 0; 0, 2^k, \dots, 2^k)$ to $T(k, 1, 1; 0; 0, 2, 2)$. In a similar manner we reduce $T(k, 1, \dots, 1; 2^k; 0, \dots, 0)$ to $T(k, 1, 1; 2; 0, 0, 0)$. Both of these statements are true and we are done. \square

6. CLOSING REMARKS AND OPEN QUESTIONS

6.1. Classification of defective $\sigma_s(X)$. By Lemma 4.1, we know that unbalanced Segre varieties are defective. Using a Monte Carlo technique combined with Terracini's Lemma (as in [Mc]), we can show there are no balanced t -defective Segre varieties ($t \leq 8$) other than the known cases:

$$(2, 2, 2), (2, 3, 3), (2, 4, 4), (1, 1, 1, 1), (1, 1, 2, 2), \text{ and } (1, 1, 3, 3).$$

The cases $(2, 2, 2)$ and $(2, 4, 4)$ are in a family originally described by Strassen. The three cases $(1, 1, 1, 1)$, $(1, 1, 2, 2)$ and $(1, 1, 3, 3)$ are in the family covered by Proposition 4.7. The case $(2, 3, 3)$ seems to fall into its own family and is proven to be defective in Proposition 4.10. Thus, all known cases of defective Segre varieties fall into one of the following four families: $\{\text{unbalanced}, (1, 1, n, n), (2, 3, 3), (2, n, n) \text{ with } n \text{ even}\}$.

With the aid of a computer combined with a Monte Carlo technique, we can show that every balanced, numerically perfect, 3 odd factor Segre Variety with $n_3 \leq 30$ is perfect. It is enough to compute the linear span of the tangent spaces at s random points, where s is the expected number defined in (2.2) in section 2. By using Lemma 2.2 this reduces to the computation of the rank of a square matrix of order $(n_1 + 1)(n_2 + 1)(n_3 + 1)$. With the use of the inductive procedure combined with computer calculations, most of the balanced, numerically perfect cases with $n_3 \leq 100$ can be shown to be perfect.

6.2. Many copies of \mathbb{P}^n . Arithmetical properties of n and k often allow Theorem 5.2 to be improved in special cases as we did in Proposition 5.9 and Proposition 5.10. When $k \geq 3$, we strongly suspect there are only a finite number of defective Segre varieties of the form $(\mathbb{P}^n)^k$. We somewhat suspect that $(\mathbb{P}^2)^3$ and $(\mathbb{P}^1)^4$ are the only defective cases.

6.3. Open questions.

Question 6.1. Let $X = \mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \mathbb{P}^{n_3}$. If X is numerically perfect and balanced with n_1, n_2, n_3 odd, then is X perfect?

Question 6.2. If $X = \mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \mathbb{P}^{n_3}$ is numerically perfect and balanced, then is X perfect?

Question 6.3. Do all defective Segre varieties of the form $X = \mathbb{P}^{n_1} \times \mathbb{P}^{n_2} \times \mathbb{P}^{n_3}$ fall into the following 3 classes?

1. X is unbalanced.
2. $X = \mathbb{P}^2 \times \mathbb{P}^n \times \mathbb{P}^n$ with n even.
3. $X = \mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3$.

Question 6.4. Let $k \geq 3$. Other than $(\mathbb{P}^2)^3$ and $(\mathbb{P}^1)^4$, is every Segre variety of the form $(\mathbb{P}^n)^k$ non-defective?

Question 6.5. Does there exist a T such that \mathbb{P}^n is non-defective whenever (n_1, \dots, n_k) is balanced with $k > T$?

Question 6.6. Do all defective Segre varieties fall into the following 4 classes?

1. X is unbalanced.
2. $X = \mathbb{P}^2 \times \mathbb{P}^n \times \mathbb{P}^n$ with n even.
3. $X = \mathbb{P}^2 \times \mathbb{P}^3 \times \mathbb{P}^3$.
4. $X = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^n \times \mathbb{P}^n$.

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